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THESIS

**WAVELET-BASED SIGNAL PROCESSING OF
ELECTROMAGNETIC PULSE GENERATED
WAVEFORMS**

by

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**WAVELET-BASED SIGNAL PROCESSING OF ELECTROMAGNETIC PULSE
GENERATED WAVEFORMS**

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ABSTRACT

This thesis investigated and compared alternative signal processing techniques that used wavelet-based methods instead of traditional frequency domain methods for processing measured electromagnetic pulse (EMP) waveforms. The primary focus of the research was equalization and filtering techniques for processing EMP signals in additive white noise. Signal equalization was conducted at the sub-band level through the use of Infinite Impulse Response (IIR) filters and channel response characteristics. A brief investigation of signal de-noising through wavelet thresholding was also conducted. This thesis also addressed and provided viable methods for signal extraction and DC bias removal for a given measured EMP waveform. The mean squared error is used as the basis for the comparison of the effectiveness of the equalization algorithm. It was found that wavelet techniques provided results that were as well or better than traditional Fourier techniques. In systems with additive noise, wavelet-based techniques exceeded the performance of the Fourier-based methods and surpassed them when de-noising techniques were used.

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TABLE OF CONTENTS

I.	INTRODUCTION.....	1
	A. THESIS OBJECTIVE	1
	B. THESIS ORGANIZATION.....	2
II.	SIGNAL PROCESSING: TECHNIQUES AND DOMAINS	3
	A. SIGNAL DOMAINS	3
	1. Fourier Transform.....	3
	2. Discrete Fourier Transform (DFT)	4
	3. Continuous-Time Wavelet Transform (CWT).....	6
	4. Discrete Wavelet Transform (DWT).....	7
	5. Types of Wavelets	11
	6. De-Noising.....	13
	B. EQUALIZATION	15
III.	SIGNAL PROCESSING OF AIRCRAFT TEST WAVEFORMS	19
	A. AIRCRAFT TEST	19
	B. EMP SIGNAL COLLECTION MODEL	21
	C. EQUALIZATION OF THE ACQUIRED WAVEFORM.....	21
	D. MEASURED SIGNAL CHARACTERISTICS	23
	E. MEASURED CHANNEL RESPONSE	24
	F. SIGNAL PRE-PROCESSING	26
	1. Signal Extraction.....	26
	2. DC Bias Cancellation.....	29
	3. Linear Interpolation of the Channel Frequency Response	30
IV.	EQUALIZATION METHODS AND WAVELET SCHEMES	33
	A. EQUALIZATION	33
	1. Equalization using Wavelets	33
	2. Equalization Techniques	34
	a. <i>Method 1 - Time Domain Filtering of Sub-band Time Domain Signals with Inverse IIR Filter</i>	35
	b. <i>Method 2 – Frequency Domain Filtering using Channel Frequency Response</i>	36
	c. <i>Method 3 - Time Domain Filtering of Wavelet Coefficients using Inverse IIR Filter</i>	36
	d. <i>Method 4 – NAWC-AD Method.....</i>	37
	B. SUB-BAND FILTERING AND NOISE REMOVAL.....	38
	1. System Model with Additive White Gaussian Noise.....	38
V.	SIMULATION RESULTS	41
	A. SIMULATION MODEL FOR ANALYSIS OF SYSTEM WITH NO ADDITIVE NOISE	41
	1. Equalization with No Signal Extraction	43
	2. Equalization with Signal Extraction	45

B. SIMULATION MODEL FOR ANALYSIS OF SYSTEM WITH WHITE NOISE	47
1. Equalization Results with Additive Noise with No Signal Extraction	48
a. <i>Results without De-Noising</i>	48
b. <i>Results using De-noising</i>	49
c. <i>Comparison against Other Waveforms</i>	51
2. Equalization Results with Additive Noise with Signal Extraction	52
a. <i>Results without De-Noising</i>	53
b. <i>Results with De-Noising</i>	54
VI. CONCLUSIONS	57
A. SIGNIFICANT RESULTS.....	57
B. RECOMMENDATIONS FOR FURTHER RESEARCH	58
APPENDIX.....	61
A. MAIN PROGRAMS	61
1. Program Producing Tables for Chapter V	61
2. Program that Produces Figures for Chapter V	64
B. FUNCTIONS USED IN ABOVE MAIN PROGRAMS	69
1. AVEPOWER	69
2. CALPROG2.....	71
3. WAVEDECOM.....	73
4. BUILDIIR.....	76
5. FILTERNRECONSTRUCT	78
6. POLYWAVEFIL1	79
C. NOTES ON DE-NOISING AND EXTRACTION	80
1. De-Noising.....	80
2. Extraction	81
LIST OF REFERENCES	83
INITIAL DISTRIBUTION LIST	85

LIST OF FIGURES

Figure 1.	FFT of Signal with additive noise. (a) Original Signal: 1,024-Point Frequency Chirp with Additive White Noise. (b) FFT (magnitude response) of the Chirp Signal.	5
Figure 2.	Two-level Wavelet Decomposition using a Tree-Structured Filter Bank (After Ref. [4]).	8
Figure 3.	Wavelet Reconstruction (After Ref. [4]).	9
Figure 4.	Detail and Approximation Coefficients of a Sinusoidal Chirp in Additive Noise Using and Haar-wavelet Transform: (a) Given Chirp Signal of 1,024 Points. (b) Detail Level 1 Coefficient, f_1 . (c) Detail Level 2 Coefficients, f_2 . (d) Detail level 3 Coefficients, f_3 . (e) Approximation Level 3 Coefficients e_3	10
Figure 5.	Types of Wavelet Basis Functions: (a) Haar Wavelet, (b) Daubechie's Order 3 Wavelet, (c) Meyer Wavelet, (d) Coiflet Wavelet.	12
Figure 6.	Normalized Signal Values versus Hard and Soft Thresholding with a Threshold Value of Approximately 0.5 (After Ref. [5]). (a) Original Signal Values, $x[n]$ with no thresholding or $T = 0$. (b) Hard Thresholded Signal Value, $x_{HT}[n]$ for $T = 0.5$. (c) Soft Thresholded Signal Value, $x_{ST}[n]$ for $T = 0.5$	14
Figure 7.	Generic Equalization of a Signal, $s(t)$, that passes through a Channel, is Digitized to record as a discrete-time signal $x[n]$ and then Equalized, $\hat{s}[n]$. ..	15
Figure 8.	A Generic Block Diagram of Equalization System with discrete time input signal $s[n]$. The Channel and Equalization Filter Blocks are represented by the impulse responses $h[n]$ and $g[n]$ respectively.	16
Figure 9.	Illustration of Aircraft Testing Process.	20
Figure 10.	Block Diagram of the Aircraft Test Model.	21
Figure 11.	Equalization Model.	22
Figure 12.	Example Recorded Waveforms at Various Aircraft Test Points. (a) Waveform 1. (b) Waveform 2. (c) Waveform 3. (d) Waveform 4.	23
Figure 13.	Channel Responses and the Sampling Rates of Channel. (a) Channel Frequency Response of "8694.cal." (b) Difference in Frequency Values of Successive Frequency Index Points in Channel File "8694.cal." (c) Channel Frequency Response of "wbal.cal." (d) Difference in Frequency Values of Successive Frequency Index Points in Channel File "wbal.cal."	25
Figure 14.	Example of Signal Extraction. (a) Original Waveform. (b) Time Average Energy of Waveform. (c) Range of Time Average Energy After Signal Points Below Threshold Have Been Removed. (d) Final Extracted Signal. ...	28
Figure 15.	Signal with Varying Levels of Energy Threshold Values. (a) Threshold = 0.1. (b) Threshold = 1. (c) Threshold = 20. (d) Threshold = 80.	29
Figure 16.	Linear Interpolation of Channel Response. Frequencies $f_{channel}$ and $f_{channel+1}$ Represent Successive Points in the Channel Spectrum. Frequency f_{data} Represents Location of the Frequency Bin of Data in the Frequency Domain.	31

Figure 17.	System model using Wavelet Processing for Equalization.....	33
Figure 18.	Structure of Wavelet Analysis and Synthesis with Equalization Filtering.....	34
Figure 19.	Method 1: Processing of Signal Waveforms Using Wavelet-Based Techniques.....	35
Figure 20.	Method 2: Processing of Signal Waveforms Using Wavelet-Based Techniques, and Fourier Transforms.....	36
Figure 21.	Method 3: Processing of Signal Waveforms Using Wavelet-Based Techniques and IIR Filtering.....	37
Figure 22.	Method 4: Processing of Signal Waveforms Using Fourier Based Transforms as Performed by NAWC-AD.....	37
Figure 23.	De-noising Model for Processing EMP Waveforms.	38
Figure 24.	System Diagram with the Addition of White Noise, $w[n]$	39
Figure 25.	Basic Model of System Experiment.	42
Figure 26.	Basic Model of System Implementing Signal Extraction Technique.	42
Figure 27.	Basic Model of System Experiment with the Addition of White Noise.....	47
Figure 28.	Basic Model of System Experiment with Additive White Noise and Implementing Signal Extraction Technique.	48
Figure 29.	No Signal Extraction and De-noising. (a) Waveform 1 with Additive Noise Using Methods Using Daubechie's Order 3 Wavelet Level 3. (b) Normalized Results Using Daubechie's Order 3 Wavelet Using Level 3.....	49
Figure 30.	No Signal Extraction. (a) Waveform1 with Additive Noise with De-noising Using Daubechie's Order 3 Wavelet Level 3. (b) Normalized Results with De-noising Using Daubechie's Order 3 Wavelet Using Level 3.....	50
Figure 31.	Waveform 1 with No Signal Extraction. (a) Original Input Signal. (b) Signal with Noise (SNR of 15 dB). (c) Equalized Signal Using Method 2 without De-noising Using Daubechie's Order 3 Wavelet. (d) Equalization Using Method 2 with De-noising Using Daubechie's Order 3 Wavelet.	51
Figure 32.	Comparison between Each of the Waveforms with No Signal Extraction and Normalized MSE Values and Using Daubechie's Order 3, and 3 Levels of Decomposition.....	52
Figure 33.	Results with Signal Extraction and without De-noising. (a) Waveform1 with Additive Noise Using Daubechie's Order 3 Wavelet, Level 3. (b) Normalized Results with No De-noising Using Daubechie's Order 3 Wavelet, Level 3.....	53
Figure 34.	MSE as a function of SNR with Signal Extraction and De-noising: (a) Waveform1 with Additive Noise Using Daubechie's Order 3 Wavelet, Level 3. (b) Normalized values Using Daubechie's Order 3 Wavelet, Level 3.....	54
Figure 35.	Waveform 1 with Signal Extraction: (a) Original Input Signal. (b) Signal with Noise (SNR of 15 dB). (c) Equalized Signal Using Method 2 without De-noising Using Daubechie's Order 3 Wavelet. (d) Equalization Using Method 2 with De-noising Using Daubechie's Order 3 Wavelet.	55

LIST OF TABLES

Table 1.	Mean Squared Error in dB Using All Three Techniques for Various Wavelet Basis Functions and Multiple Level Decompositions and the Fourier method. Signal is Not Extracted from Data.	44
Table 2.	Mean Squared Error in dB Using All Three Techniques for Various Wavelet Basis Functions and Multiple Level Decompositions and the Fourier method using the model in Figure 26.....	46

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EXECUTIVE SUMMARY

This thesis investigated and compared alternative signal processing techniques that used wavelet-based methods instead of traditional frequency domain methods for processing measured electromagnetic pulse (EMP) waveforms. The work was based on an existing procedure developed at the Naval Air Warfare Center, Aircraft Division (NAWC-AD), Patuxent River, MD that processed signals using Fourier transforms and manual preprocessing techniques. This process involved the capture, preprocessing and equalization of EMP signals that penetrated the exterior aircraft shell.

The primary focus of the work reported in this thesis was equalization and filtering techniques for processing EMP signals in additive white noise. The physical model of the NAWC-AD test procedure consisted of receiver and digital recording equipment which can distort the desired EMP waveform's characteristics prior to digital storage. In order to minimize the error between the actual and recorded signal, channel equalization and noise filtration were required. This signal equalization was conducted at the sub-band level through the use of infinite impulse response (IIR) filters and actual channel response characteristics. Wavelet based decomposition and synthesis provided the mechanism for this sub-band analysis to occur. Using the wavelet techniques, a brief investigation of signal de-noising through wavelet thresholding was also conducted. This effort led to the development of a number of different equalization techniques for comparison against the NAWC-AD Fourier equalization technique.

This thesis also addressed and provided viable methods for signal extraction and DC bias removal for a given measured EMP waveform. The NAWC-AD method employed manual procedures to identify and extract the recorded waveform based on operator visual inspection. The use of time averaged energy computation with an appropriately selected threshold provided the basis for pre-processing steps with consistent results. By automating these procedural steps, consistency in testing results could improve the overall aircraft testing process. The mean squared error is used as the basis for the comparison of the effectiveness of the equalization algorithms.

It was found that wavelet techniques provided results that were as good or better than traditional Fourier techniques employed by NAWC-AD. In systems with additive noise, wavelet-based techniques exceeded the performance of the Fourier-based methods and surpassed them when de-noising techniques were used. Results suggest that the current method employed by NAWCAD works well in a low noise (high SNR) environment based on the channel (equipment) response provided. Significant improvement in performance of the proposed wavelet methods with de-noising is obtained at low SNRs, a desirable outcome especially when the aircraft testing is conducted under noisy conditions.

I. INTRODUCTION

The Naval Air Warfare Center, Aircraft Division (NAWC-AD) Patuxent River, MD conducts testing of aircraft against the effects of electromagnetic pulse (EMP) waveforms. The purpose of the testing is to detect the radio frequencies that penetrate through the aircraft shell and measure EMP waveforms at the locations of critical and sensitive equipment. It is then determined whether this measured EMP waveform is within safe and required tolerances for the aircraft. In efforts to upgrade system components, NAWC-AD initiated an investigation of alternative waveform processing techniques to improve the effectiveness of this type of aircraft testing. Present waveform processing techniques involve manual processing of measured EMP waveforms to extract the signals, equalization of signals in the frequency domain, and removal of the DC bias of the received waveform.

In addition to manual techniques used by the NAWC-AD, the signal processing is accomplished through Fourier transforms, which exploit the frequency characteristics of a signal. Research in “Transform Coded eXcitation” (TCX) coding has shown that results for “highly non-stationary signals, such as percussions,” are not optimal using discrete Fourier transforms [1]. Due to the non-stationary nature of the EMP waveforms, it is possible that alternative transform techniques, specifically wavelet based-transforms, may provide better waveform processing performance.

A. THESIS OBJECTIVE

This thesis presents methods for improved EMP signal processing techniques that would eliminate manual processing and result in more optimal performance through wavelet-based transforms. The equalization of a signal through the use of discrete wavelet transforms provides more flexibility through the ability of filtering and processing signals at the sub-band level as well as integration of accepted de-noising algorithms.

This thesis discusses the benefits and advantages of sub-band processing, including the ability to allow multiple sampling rates and de-noising. Additionally, it provides a possible algorithm for acquisition of a signal using time average energy

computations. Performance of proposed techniques is evaluated through MATLAB simulations using measured EMP waveforms with and without additive noise.

B. THESIS ORGANIZATION

Chapter II discusses the signal processing background required to address manipulation and processing of measured EMP waveforms. Chapter III describes the aircraft testing model and characteristics of the given measured EMP waveform data sets. Chapter IV outlines the currently used procedures for signal processing in the system and introduces proposed wavelet-based methods to process the EMP waveforms. Chapter V presents the results of the proposed methods and their comparison to the Fourier method. Chapter VI includes conclusions and topics for further research. Appendix A contains the MATLAB code used to process the EMP waveforms.

II. SIGNAL PROCESSING: TECHNIQUES AND DOMAINS

This chapter provides the background for signal representations in the time domain and frequency domain. It provides an overview of the Fourier transform techniques that are the foundation of the currently used algorithms. It also introduces the wavelet transforms and basic de-noising techniques. Finally, this chapter discusses the basic concepts of channel filtering and equalization.

A. SIGNAL DOMAINS

A given signal, in particular an electro-magnetic waveform, can be analyzed by using a number of different methods. The use of mathematical transformations can lead to more versatile representation of signals for processing. For example, although some characteristics of signals may not be evident in the time domain, definitive signal properties may be clearly observed in the frequency domain. Fourier transform and wavelet decomposition are two methods of expressing time domain signals in another domain in order to extract information as well as perform processing with greater ease in a computationally efficient manner.

1. Fourier Transform

Using the Fourier transform, it is possible to represent a given time-domain signal as a function of all frequencies present in it. This is accomplished by representing a signal $x(t)$ as a linear combination of complex exponentials [2], given by

$$X(\omega) = \int_{-\infty}^{+\infty} x(t) e^{-j\omega t} dt \quad (2.1)$$

where $X(\omega)$ is the transformed signal and ω is the radian frequency.

An inverse transform can be applied to transform a frequency domain signal $X(\omega)$ to a time-domain signal $x(t)$, given by

$$x(t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} X(\omega) e^{j\omega t} d\omega. \quad (2.2)$$

The continuous-time Fourier transform, however, can only be applied to signals of infinite duration and continuous time. Due to the wide-spread use of digital technologies in modern signal processing applications, signals are commonly acquired and stored digitally as a set of data points or a vector. For this reason, it is necessary to utilize the discrete Fourier transform.

2. Discrete Fourier Transform (DFT)

The DFT is an extension of the Fourier transform to process digitized signal sequences of finite duration and provides a one-to-one correspondence of a time domain signal to its frequency domain counterpart for a given sampling rate. The transform also represents the time-domain signal as a linear combination of complex exponentials, but these exponentials occur at discrete frequency locations as opposed to over the continuous spectrum.

In discrete signal processing, input signals are typically assembled as data vectors of length N . If T_s is the sampling period of the digitized signals, the duration of a vector containing N samples is NT_s . The DFT of N samples of a discrete-time signal, $x[n]$, is given by [2]

$$\tilde{X}[k] = \frac{1}{N} \sum_{n=0}^{N-1} x[n] e^{-jk(2\pi/N)n}, \quad k = 0, 1, \dots, N-1 \quad (2.3)$$

where n and k represent the time and frequency indices. The DFT represents the signal in the frequency domain as a function of the digital frequency, $\omega_d = \frac{2\pi k}{N}$; $0 \leq \omega_d \leq 2\pi$. The

digital frequency can be expressed as $\omega_d = \frac{2\pi f}{F_s}$ where f is the analog frequency and

$F_s = \frac{1}{T_s}$ is the sampling frequency. The width of each DFT frequency bin is $\Delta f = \frac{F_s}{N}$.

The fast Fourier transform (FFT) is a computationally efficient algorithm to implement the DFT. The FFT utilizes a “divide and conquer” technique to perform the DFT calculations quickly [3] by breaking a signal sequence down systematically into smaller sequences. For the FFT to be effective, the sequence length must be an integer

power of 2. This can quickly be achieved by appending zeros to the end of a signal vector called “zero padding,” which has no effect on the characteristics of the signal being analyzed.

Figure 1 shows a chirp signal in the time-domain and its frequency-domain representation (magnitude only) obtained by using a 1,024-point FFT algorithm. From Figure 1, it can be seen that the signal contains stronger low frequency components than high frequency components. However, where in time these components occur cannot be determined from the frequency response. Other techniques, such as wavelet transforms, not only provide frequency information of a signal, but also provide corresponding time information as well when transformed. For this reason, the wavelet transforms are described in the following sections.

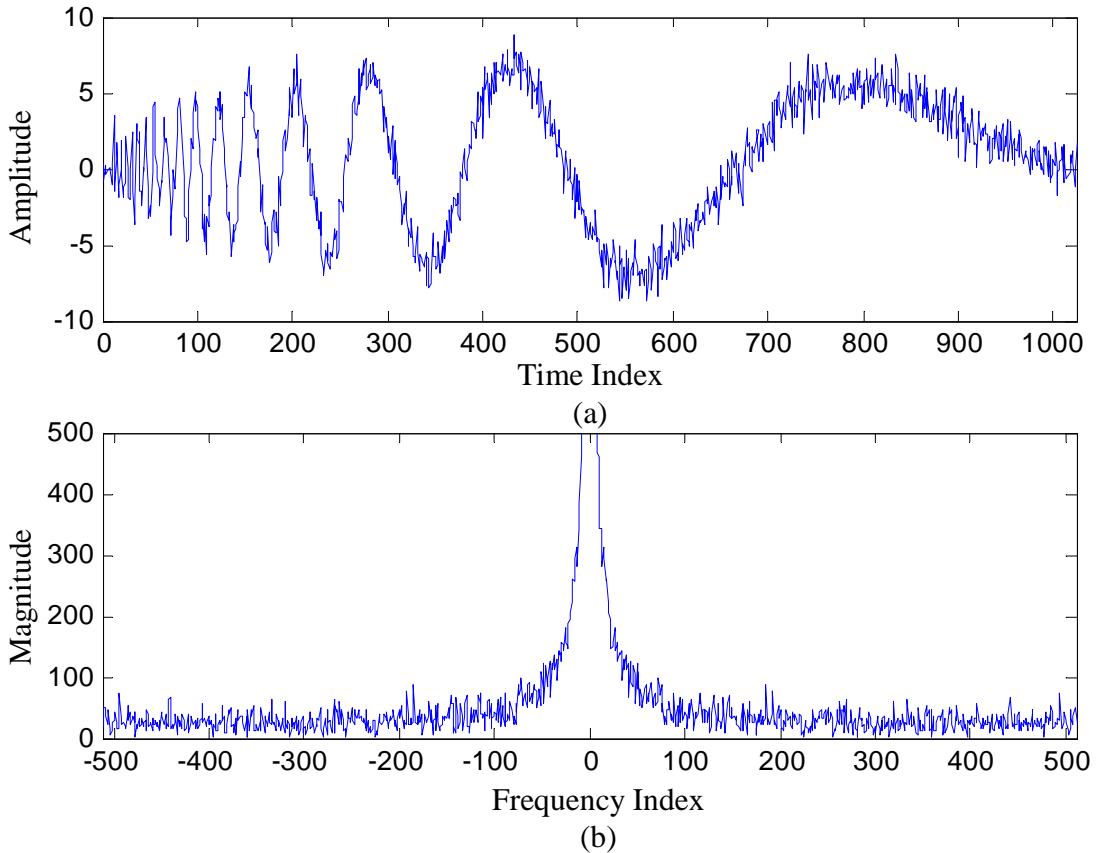


Figure 1. FFT of Signal with additive noise. (a) Original Signal: 1,024-Point Frequency Chirp with Additive White Noise. (b) FFT (magnitude response) of the Chirp Signal.

3. Continuous-Time Wavelet Transform (CWT)

The wavelet transform is another method for representing signals. The wavelet transform has the ability to represent the time-frequency variations of signal components in multiple discrete frequency bands, which can improve analysis of a given signal. The CWT of a signal $x(t)$ is defined as [4]

$$W(u, s) = \int_{-\infty}^{+\infty} x(t) \frac{1}{\sqrt{s}} \psi^* \left(\frac{t-u}{s} \right) dt \quad (2.4)$$

where $\psi(t)$ is the wavelet basis function; the variable u represents the time shifting of the basis function and the variable s represents the scaling value of the wavelet basis function. The wavelets basis, $\psi(t)$, has a time average of zero:

$$\int_{-\infty}^{+\infty} \psi(t) dt = 0 \quad (2.5)$$

For each basis function, a scaling function, $\phi(t)$, exists such that

$$|\phi(t)|^2 = \int_1^{+\infty} |\psi(st)|^2 \frac{ds}{s} \quad (2.6)$$

and s represents the scaling value of the function for radian frequency ω as defined above. The function, $\psi(t)$ and $\phi(t)$, are orthogonal such that

$$\int_{-\infty}^{+\infty} \psi(t) \phi^*(t) dt = 0 \quad (2.7)$$

ensuring that their projections into the wavelet domain are unique and consistent transformation of the signal without loss or redundancy of information [4].

This transformation is more versatile than the Fourier transform. By manipulating the shifting and scaling parameters, a signal transformed by wavelets provides a multi-band representation that can detect and characterize transient components with a zooming procedure across scales [4]. Wavelet types and their advantages are discussed further in Chapter IV.

As with the Fourier transform, the discrete representation of a signal utilizing the wavelet transform can also be found using the discrete wavelet transform. Additionally, there is further discussion on the benefits of using wavelet transforms over Fourier transforms in the following sections.

4. Discrete Wavelet Transform (DWT)

The process of wavelet analysis is a multi-step procedure in which a signal is decomposed into several sub-band components. This transform can be written as a convolution for a discrete signal $x[n]$ with a wavelet $\psi_j[n]$ as follows:

$$W[n, a^j] = \sum_{m=0}^{N-1} x[m] \psi_j[m-n]. \quad (2.8)$$

In implementation, the discrete wavelet transform can be realized using a series of filter pairs that decompose a signal into a number of sub-band signals as required. A discrete-time signal is applied to a low-pass filter having an impulse response h' and a high-pass filter with an impulse response g' . These filters complement each other and divide the spectral range of the input signal $x[n]$ into a low frequency band and a high-frequency band, respectively. The impulse responses of these filters must satisfy certain properties [4]. Let $h'[n]$ and $g'[n]$ be the reversals of impulse responses $h[n]$ and $g[n]$, respectively, i.e.,

$$\begin{aligned} h'[n] &= h[-n] \\ g'[n] &= g[-n] \end{aligned}$$

which in turn are related to each other as given by $g[n] = (-1)^{1-n} h[1-n]$. The reader is referred to [4] for an in-depth discussion of the properties.

The wavelet and scaling function of the DWT can be recursively generated using these filters as follows:

$$\begin{aligned} \phi_j(n) &= \sqrt{2} \sum_{p=-\infty}^{+\infty} h[p] \phi_{j+1}(2n-p) \\ \psi_j(n) &= \sqrt{2} \sum_{p=-\infty}^{+\infty} g[p] \phi_{j+1}(2n-p) \end{aligned} \quad (2.9)$$

where n represents the time indices of the wavelet function, j represents the resolution level, and the term 2 in $\phi(2n - p)$ indicates a decimation operation by 2.

Let $e_j[n]$ and $f_j[n]$ represent the approximation and detail coefficients, respectively, which are obtained as the following inner products [4]

$$\begin{aligned} e_j[n] &= \langle x[n], \phi_j[n] \rangle \\ f_j[n] &= \langle x[n], \psi_j[n] \rangle \end{aligned} \quad (2.10)$$

These inner products in turn yield recursive operations for computing the approximation and detail coefficients [4]:

$$\begin{aligned} e_j[n] &= \sum_{p=-\infty}^{+\infty} h[p-2n] e_{j-1}[p] \\ f_j[n] &= \sum_{p=-\infty}^{+\infty} g[p-2n] e_{j-1}[p] \end{aligned} \quad (2.11)$$

where j defines the level of decomposition. Figure 2 shows a schematic of the implementation of Equation (2.11). The decimation is represented by $\downarrow 2$ in the figure. Each level of decomposition results in approximation and detail coefficients denoted e_j and f_j , respectively. Through repetitive iterations, this process can continue starting from the original signal, e_0 , down to where f_n and e_n are a length of one.

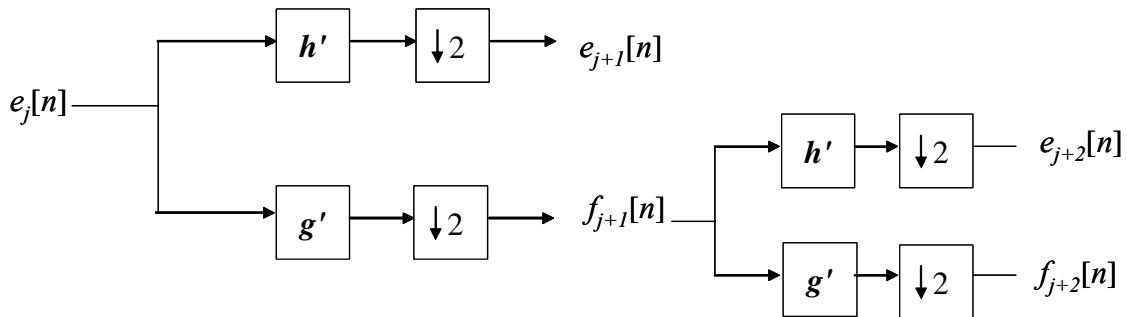


Figure 2. Two-level Wavelet Decomposition using a Tree-Structured Filter Bank (After Ref. [4]).

Conversely, wavelet reconstruction is the process of taking sub-band coefficients and rebuilding the signal to its original form. The signal reconstruction is given by [4]

$$e_j[n] = \sum_{p=-\infty}^{+\infty} h[n-2p]e_{j+1}[p] + \sum_{p=-\infty}^{+\infty} g[n-2p]f_{j+1}[p]. \quad (2.12)$$

As with wavelet decomposition, reconstruction employs a similar tree-like filter bank structure as shown in Figure 3. In this diagram, the filters used are h and g , the reversals of h' and g' , respectively. The coefficients are filtered and then up-sampled by two, denoted by the symbol $\uparrow 2$.

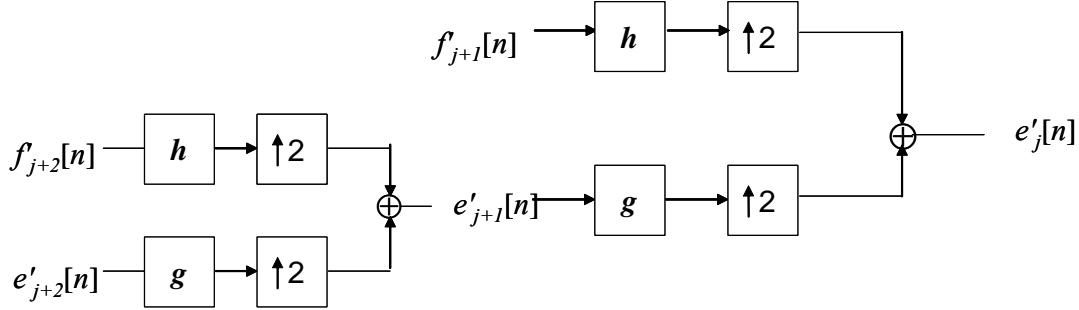


Figure 3. Wavelet Reconstruction (After Ref. [4]).

By using different wavelet basis functions or the number of levels, there are multiple possible representations of time-domain signal. Figure 4 illustrates the results of wavelet decomposition of an arbitrary 1,024-point frequency chirp signal with additive noise and three levels of decomposition using the Haar-wavelet. As can be seen, the e_3 coefficients shown in Figure 4 (e) contain the low frequency portion of the signal and are of length 128 points. The f_1 coefficients shown in Figure 4 (b) are the high frequency coefficients of length 512.

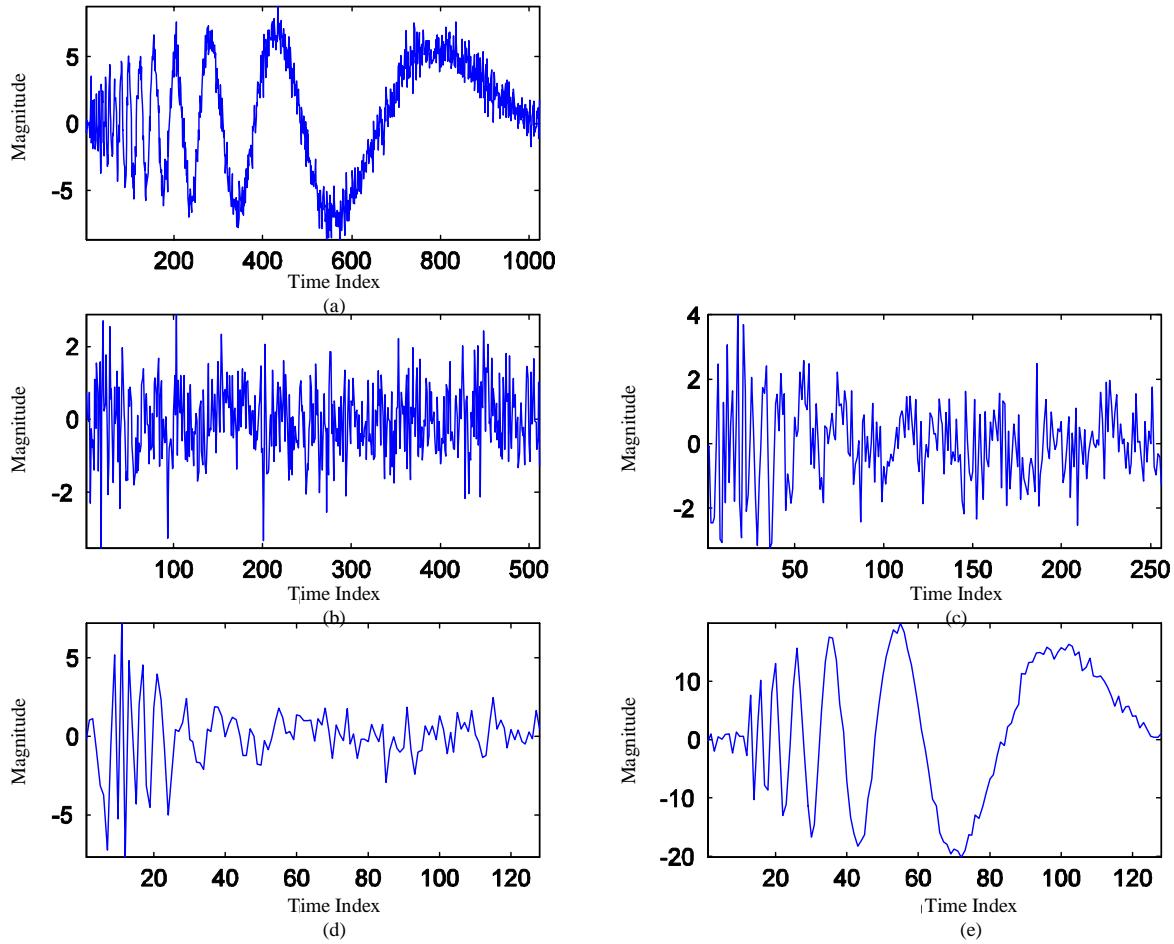


Figure 4. Detail and Approximation Coefficients of a Sinusoidal Chirp in Additive Noise Using and Haar-wavelet Transform: (a) Given Chirp Signal of 1,024 Points. (b) Detail Level 1 Coefficient, f_1 . (c) Detail Level 2 Coefficients, f_2 . (d) Detail level 3 Coefficients, f_3 . (e) Approximation Level 3 Coefficients e_3 .

Reconstruction can occur up to the original signal or can occur for specific branches. Selective reconstruction is when approximation or detail coefficients, $e_j[n]$ or $f_j[n]$, are reconstructed as in Figure 3 while all other sub-band coefficients are set to zero. The signal is reconstructed through \mathbf{h} and \mathbf{g} until it is $e''_0[n]$. The signal $e''_0[n]$ is the time-domain representation of the desired sub-band.

In summary, wavelet decomposition divides the frequency domain and divides it into equal sub-bands successively, i.e., it decomposes the signal into a high frequency

component and a low frequency component. As evident in Figure 4, each time the signal decomposes to the next level, there are half as many points to represent the data than at the level before. The wavelet decomposition in Figure 4 gives an alternative representation of the sinusoidal chirp in additive noise compared to that given by the FFT in Figure 1 (b). The fact that a different representation of the same signal exists leads to the possibility of exploiting these representations using techniques not available to the FFT-based signal processing.

5. Types of Wavelets

Wavelets have greater versatility than Fourier transforms because they allow for multiple types of basis functions and multiple levels of decomposition. As discussed, wavelet basis functions are associated in pairs as defined in Equations (2.4) and (2.5), $\psi(t)$, and a scaling function $\phi(t)$, and satisfy the relationship in Equation (2.6). As long as the wavelet has a time average of zero as defined in Equation (2.5) and the scaling function is orthogonal to the basis wavelet and satisfies Equation (2.8) and (2.9), it constitutes a valid wavelet pair [5]. This allows virtually no limit on the number of wavelet bases available. However, in the literature, some popular wavelet families have emerged due to their superior performance.

For a given signal, some wavelets produce better results than other wavelets. For example, the “Haar” wavelet is a square wave function, as depicted in Figure 5 (a) and defined as

$$\psi(t) = \begin{cases} 1 & 0 \leq t \leq 0.5 \\ -1 & 0.5 \leq t \leq 1 \end{cases} \quad (2.13)$$

with the ability to represent a square wave easily and accurately using only a few signal samples. The corresponding Haar scaling function is given by

$$\phi(t) = \begin{cases} 1 & 0 \leq t \leq 1 \\ 0 & otherwise \end{cases}$$

However, for a typical sinusoidal signal, the “Haar” may not be the best basis function to utilize.

Wavelets are generally classified into families. Some typical families are Haar, Daubechie's, Coiflet, and Meyer. With exception of the Haar and Meyer wavelets of this sub set, the other wavelets do not have explicit expressions that define them. Figure 5 shows a plot of each respective wavelet type. Unless sufficient *a priori* information is available for the signal and its correlation to a wavelet, it cannot be determined which wavelet basis function will have the best results. Typically, wavelets are optimized when there is a minimum number of wavelet coefficients that have values that are much greater than zero [4, 5].

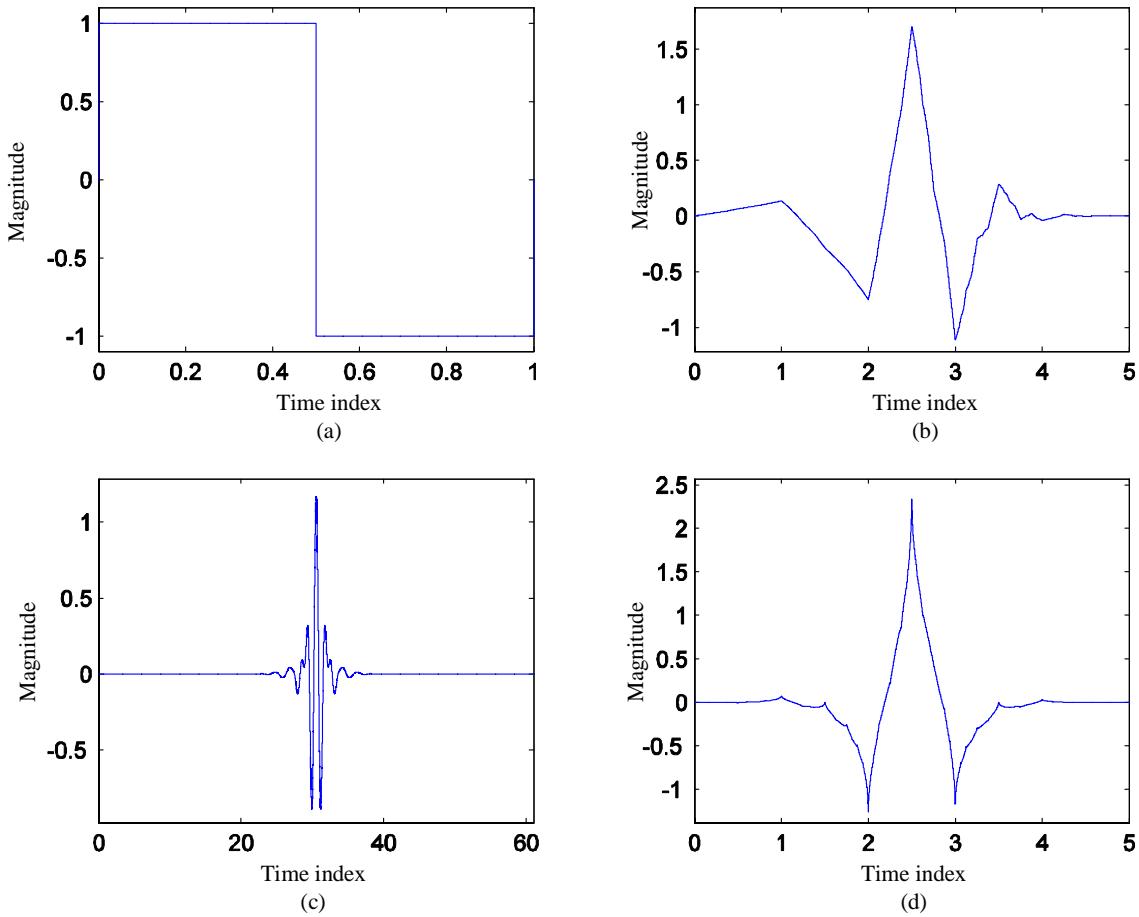


Figure 5. Types of Wavelet Basis Functions: (a) Haar Wavelet, (b) Daubechie's Order 3 Wavelet, (c) Meyer Wavelet, (d) Coiflet Wavelet.

In this work, a subset of the different types of wavelet basis function are used and compared. Those used in this work include Haar, Daubechie's order 3 and 15, Coiflet, and Meyer. No research was conducted to determine the best wavelet for this application.

6. De-Noising

The removal of noise in signals can be accomplished by a number of different ways using digital or analog filters that eliminate frequencies outside the range of interest of a given signal. Effective filters can be designed for processing signals affected by white noise with sufficient *a priori* knowledge of the desired signal and added cost of complexity. For wavelets, a simple solution that does not require knowledge of the signal for the removal of noise can be implemented using de-noising or thresholding techniques. For a given normalized signal vector, $x[n]$, a sample-by-sample manipulation called thresholding is applied. Hard thresholding is implemented as

$$x_{HT}[n] = \begin{cases} x[n] & \text{if } |x[n]| > T \\ 0 & \text{if } |x[n]| \leq T \end{cases} \quad (2.14)$$

and for soft thresholding

$$x_{ST}[n] = \begin{cases} x[n] - T & \text{if } x[n] \geq T \\ x[n] + T & \text{if } x[n] \leq T \\ 0 & \text{if } |x[n]| < T \end{cases} \quad (2.15)$$

where T is an arbitrarily, empirically, or theoretically determined threshold value. Combining Stein's Unbiased Estimate of Risk (SURE) method and fixed thresholding $\sigma\sqrt{2\log N}$ where N is the length of the signal vector and σ is a scaling multiple, is a MATLAB function called *heuristic de-noising* which takes advantage of both methods. The results in Chapter V used this heuristic method; the SURE method is used unless a high signal to noise ratio is detected by the function [6].

Figure 6 depicts a graphical representation of the transfer characteristics for a signal's magnitude over a normalized scale.

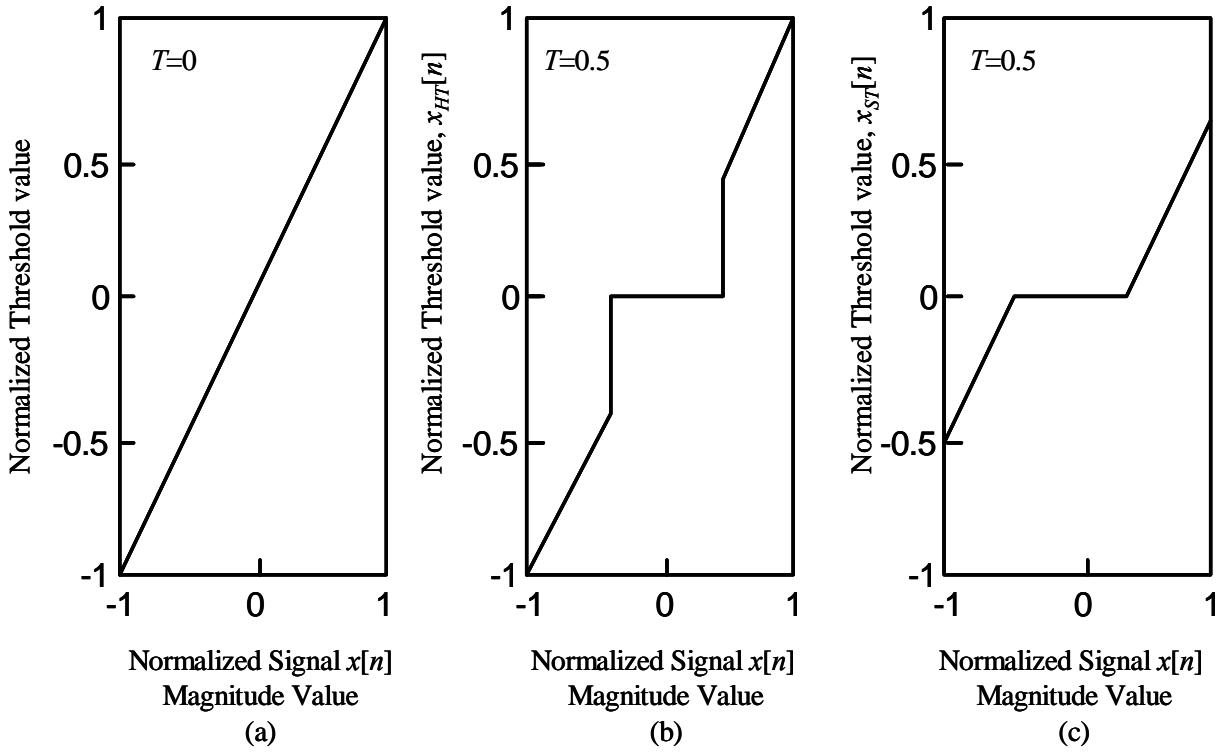


Figure 6. Normalized Signal Values versus Hard and Soft Thresholding with a Threshold Value of Approximately 0.5 (After Ref. [5]). (a) Original Signal Values, $x[n]$ with no thresholding or $T = 0$. (b) Hard Thresholded Signal Value, $x_{HT}[n]$ for $T = 0.5$. (c) Soft Thresholded Signal Value, $x_{ST}[n]$ for $T = 0.5$.

To implement de-noising, a signal is decomposed into approximation and detail coefficients using the wavelet transform. These resultant coefficients are then normalized and a threshold value is calculated using the SURE method or $\sqrt{2 \log N}$ to minimize the estimation error between the actual noise signal and the signal estimate without noise [4]. Wavelet coefficients are then compared against this threshold and the applicable threshold is applied. All values above the threshold are left alone in the case of hard thresholding shown in Figure 6 (b); in the case of soft thresholding, the values are scaled lower as shown in Figure 6 (c). The assumption behind this method of processing is that unless the magnitude of the coefficient exceeds a threshold value, it is a contribution from noise and should be removed.

B. EQUALIZATION

A channel accounts for the effects of a transmission medium as a signal travels from a source to a destination. In the channel, a signal can be amplified, attenuated, dispersed in time, and distorted as a function of the characteristics of the physical medium, which may be wireless or wired along with miscellaneous equipment. As a result, every channel has a frequency response characteristic that will affect the signal as it passes and will distort the signal from its original form. The purpose of equalization is to nullify the effects of the channel characteristics and preserve the signal as close as possible to its original form.

Figure 7 is a schematic model of a channel and equalizer as used in a variety of applications. In this work, signal acquisition (sampling and binary conversion) is considered part of the channel. The discrete-time signal $x[n]$ is the observed signal at the output of the channel, which is a result of an input continuous-time signal $s(t)$ passing through the channel and sampled at the receiver. The equalization filter attempts to reconstruct the original signal; the reconstructed signal $\hat{s}[n]$ is desired to be as close to $s(nT_s)$ as possible where T_s is the sampling interval.

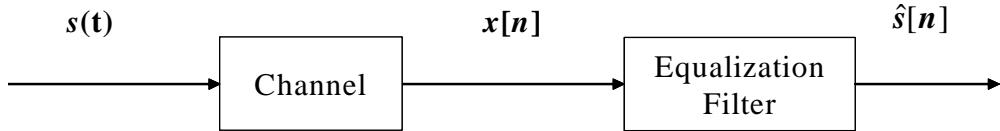


Figure 7. Generic Equalization of a Signal, $s(t)$, that passes through a Channel, is Digitized to record as a discrete-time signal $x[n]$ and then Equalized, $\hat{s}[n]$.

If a channel's characteristics are known, then it is possible to effectively remove the impact of these effects by utilizing a filter. For the purpose of this work, all processing was performed with discrete-time samples, assuming that the original signal was accurately recorded without non-linearities, represented as $s[n]$, and discussed further in Chapter III.

The channel output $x[n]$ can be obtained as a convolution of the input signal $s[n]$ and the channel impulse response $h[n]$, given by

$$x[n] = \sum_{k=0}^L h[k]s[n-k] \quad n = 0, 1, \dots, N-1 \quad (2.16)$$

where L is the length of the filter representing the channel. The linear operation of convolution can be accomplished in the frequency domain as a product:

$$X(\omega) = S(\omega)H(\omega) \quad (2.17)$$

where $X(\omega)$ is the Discrete Time Fourier transform of the received signal $x[n]$ and $H(\omega)$ is the channel frequency response. Frequency domain implementation of convolution using the FFT is computationally efficient and is the preferred method for signal analysis in many applications.

The system model for equalization of a signal traversing through two filters representing the channel and the equalization filter is shown in Figure 8. The input signal to the channel is a discrete-time signal $s[n]$. In this model, the channel is assumed to account for any imperfections in the data acquisition system. Ideally, the equalization filter will have a frequency response that is the inverse of the channel. In other words, the overall system response in Figure 8 is unity:

$$H(\omega)G(\omega) = 1 \text{ where } G(\omega) = \frac{1}{H(\omega)} \quad (2.18)$$

where $H(\omega)$ is the channel frequency response and $G(\omega)$ is the frequency response of the equalization filter.

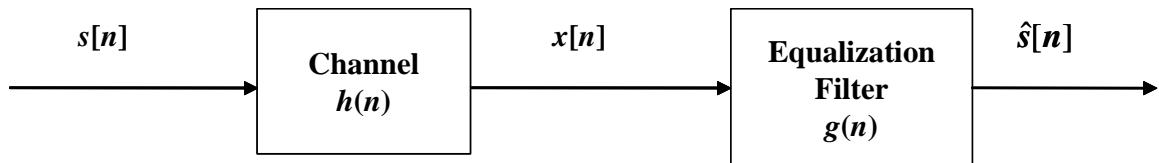


Figure 8. A Generic Block Diagram of Equalization System with discrete time input signal $s[n]$. The Channel and Equalization Filter Blocks are represented by the impulse responses $h[n]$ and $g[n]$ respectively.

In summary, continuous-time as well as discrete-time signals can be processed in either the time domain or frequency domain through the utilization of various transforms, such as the FFT and DWT. Although the Fourier transform is considered the more traditional method, the characteristics and versatility of wavelet transforms help represent signals in multiple ways. Choices in wavelet basis function and the number of decomposition levels can provide a collection of multi-band waveforms that can then be filtered or equalized. These waveforms can also be processed with wavelet-specific techniques, such as thresholding to de-noise signals. In the next chapter, these techniques will be applied to the NAWC-AD aircraft testing process.

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III. SIGNAL PROCESSING OF AIRCRAFT TEST WAVEFORMS

This chapter outlines the overall signal processing of the aircraft testing system and highlights the characteristics and parameters associated with the measured EMP waveforms. It will briefly describe the aircraft test process and detail the system modeled to be used for analysis, including the structure of the parameters available for the system. This provides a description of the measured EMP waveforms and the given channel response as well as a description of preprocessing techniques of the recorded signal and channel response information prior to equalization.

A. AIRCRAFT TEST

Considerable work has been done to ensure that military aircraft can sustain various debilitating effects that could occur while in battle. EMP is a hazardous and significant phenomenon because, for many of today's new aircraft, pilot control is based on electronic signals instead of traditional hydraulic control. The latter is impervious to the effects of electromagnetic radiation while the former is extremely vulnerable such that an EMP could disable an entire aircraft.

The aircraft EMP testing process is illustrated in Figure 9. The test aircraft is subjected to EMP from an antenna in a controlled environment [7]. The test waveform in the system is generated by an EMP antenna, per reference MILSTD-464A, and then recorded once it penetrates the aircraft. Inside the aircraft, there are multiple sensors that record the signal at designated points. These measured signals are subjected to the channel effects as they travel through the recording equipment until they are finally sampled and stored in digital form.

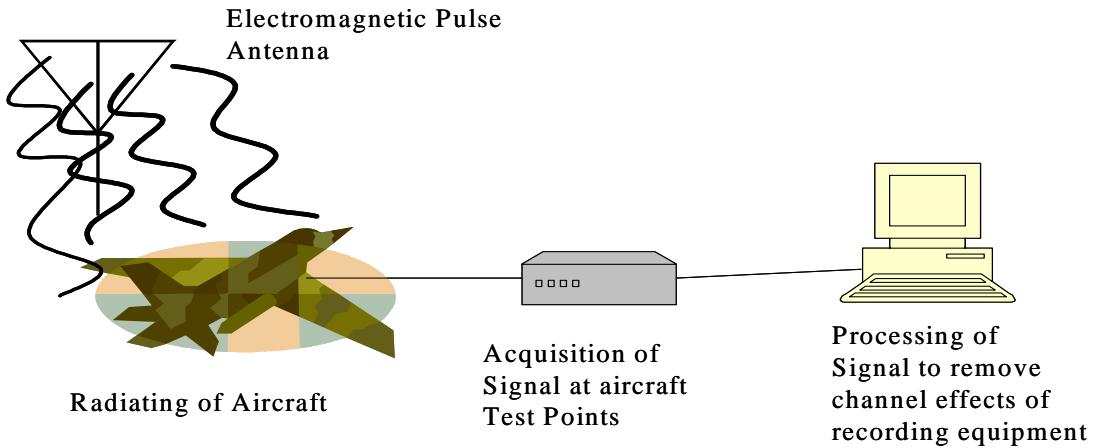


Figure 9. Illustration of Aircraft Testing Process.

Once the measured EMP waveform has been captured and pre-processed, it is used by NAWC-AD to verify that the signal at the test points does not exceed limits of power at designated frequencies. By knowing the characteristics of a transmitted test-EMP waveform and the waveform acquired inside the aircraft at designated test points, the frequency response of the aircraft structure, as a medium, can be determined and evaluated. Military aircraft performance specifications define the required allowable levels at specific frequencies; therefore, it is necessary to ensure that the waveforms at each of the aircraft test points are accurately acquired for processing. This determines the overall effectiveness of the aircraft outer shell and the quality of radiation hardening in order to mitigate the damaging effects of the EMP waves [8].

Each piece of equipment in the acquisition suite has non-ideal frequency characteristics and other limitations that will distort the measured waveforms. Consequently, it is necessary to perform equalization of the imperfect effects of the acquisition hardware to recover the true received waveform at each test-point. This defines the objective to be addressed in this thesis: to ensure that the recorded EMP waveform is as close an estimate as possible of the waveform that would have been acquired without the effects of the acquisition equipment, and to consider whether the process can be improved with other techniques.

B. EMP SIGNAL COLLECTION MODEL

The aircraft testing process can be modeled as a block diagram as shown in Figure 10. Despite the complexity of the aircraft test process, the essential waveforms required are $s(t)$, $x[n]$, and $\hat{s}[n]$. The signal $s(t)$ is the desired signal, $x[n]$ is the recorded signal, and $\hat{s}[n]$ is the estimate of the desired signal. The waveform $s(t)$ is considered the signal of interest since it has penetrated through the aircraft chassis, resultant of a controlled transmitted wideband EMP wave. The information contained in the waveform provides the analysis for the aircraft performance. This signal then traverses through various pieces of recording and transfer equipment until it is ultimately digitized and stored. This discrete-time recorded waveform is designated as $x[n]$. Finally, the signal is processed using equalization techniques to remove the effects of the acquisition equipment and represented as the signal estimate $\hat{s}[n]$.

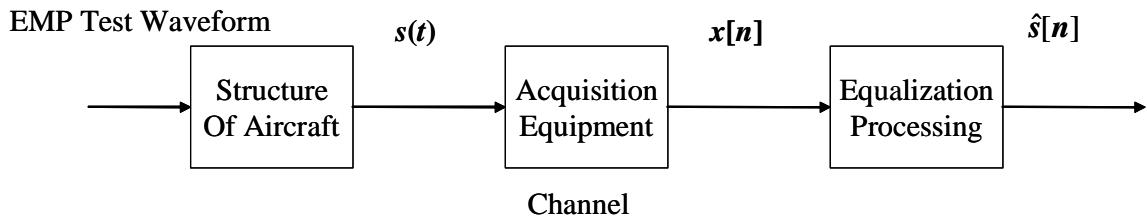


Figure 10. Block Diagram of the Aircraft Test Model.

Although there are multiple pieces of equipment that comprise the acquisition suite and recording equipment, the overall system from sensors to storage can be expressed as a consolidated single channel.

C. EQUALIZATION OF THE ACQUIRED WAVEFORM

Although the test-EMP waveforms and aircraft chassis characteristics are the factors that make up the basis of NAWC-AD's testing, they are irrelevant to the equalization and signal processing problem discussed. Figure 11 models the process from the desired signal to the equalized, approximated signal.

Due to the nature of the comparison, it is not necessary to represent $s(t)$ as a continuous waveform for the system. The equipment and the signal processing techniques are digital and discrete, and it is assumed that the sampling losses of $s(t)$ are built into the NAWC-AD evaluation criteria. As a result, $s(t)$ in this system is best represented as a sampled waveform $s[n]$ with sampling time T_s where $s[n] = s(nT_s)$.

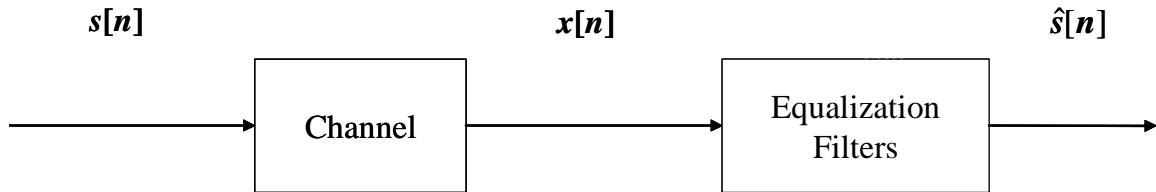


Figure 11. Equalization Model.

The channel and the equalization filter can both be represented as systems with response information as discussed in Chapter II. The channel characteristics are given quantities based on equipment specification and test results. The channel information is provided by NAWC-AD in the frequency-domain and will be denoted as $H(\omega)$. From this *a priori* knowledge of the channel, it is possible to construct an equalization filter, $G(\omega)$ to counteract the effects of the recording equipment. Applying the discussion from Chapter II, it can be shown that

$$\begin{aligned} X(\omega) &= S(\omega)H(\omega) \\ \hat{S}(\omega) &= X(\omega)G(\omega) = S(\omega)H(\omega)G(\omega) \end{aligned} \tag{3.1}$$

Consequently, in order to ideally equalize the system such that $\hat{S}(\omega) = S(\omega)$, we

desire $G(\omega) = \frac{1}{H(\omega)}$. Using this relationship and the provided channel response $H(\omega)$ from NAWC-AD, it was possible to derive a $G(\omega)$ for experimentation. In discrete-time, this vector must have uniform frequency distribution as well as be of the same length as the signal vector. Both these conditions were required to be met through pre-processing due to the format of the $H(\omega)$ information discussed later.

D. MEASURED SIGNAL CHARACTERISTICS

Unfortunately, it is not possible to gather information on $s(t)$ because it is the true, unsampled, and unrecorded signal. Only $x[n]$ can be made available since it is the recorded signal at the aircraft test points; therefore, it is necessary to use a representative signal for the purposes of signal analysis.

The given acquired signal, $x[n]$, is sampled with a fixed sampling rate in the range of 250 MHz to 1.5 GHz, dependent upon the equipment used. The waveform is a real-valued signal and varies in length between 9,000 and 25,000 points per vector. As a result, $s[n]$ and $\hat{s}[n]$ will have the same discrete characteristics as $x[n]$. Visually, the signal reflects the shape of a transient waveform as seen in Figure 12 (a) through (d). The reader may note that the waveform shape is not consistent as is a function of the location of test points within the aircraft.

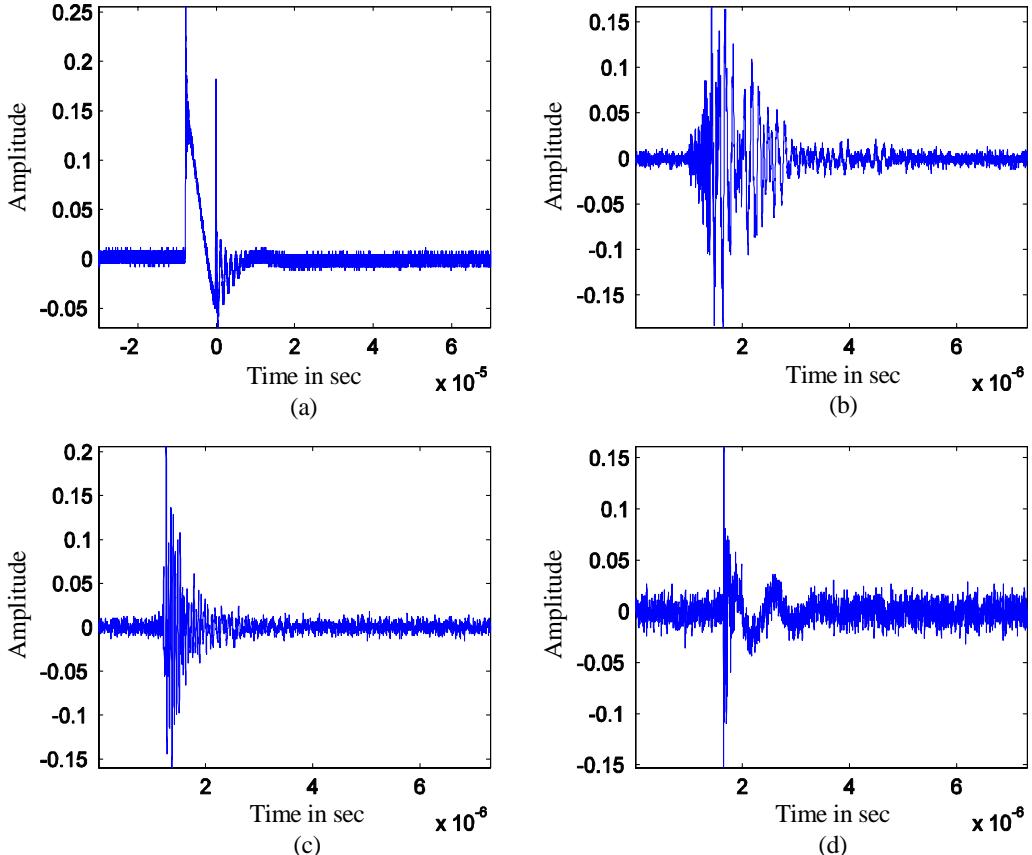


Figure 12. Example Recorded Waveforms at Various Aircraft Test Points. (a) Waveform 1. (b) Waveform 2. (c) Waveform 3. (d) Waveform 4.

There appears to be a low level of additive noise in the recorded signal $x[n]$. It is not evident whether if this noise is equipment noise or background noise resident during the radiating of the aircraft. Accordingly, the recorded signal can be represented as either

$$x[n] = s[n] * h[n] + w[n]$$

or

$$x[n] = (s[n] + w[n]) * h[n].$$

This noise is present in the recorded signal but may not be part of the signal $s[n]$. It is assumed that the noise is undesirable and not part of the ideal system; therefore, the results could be more accurate if it were not present.

E. MEASURED CHANNEL RESPONSE

Provided by NAWC-AD were frequency responses for the acquisition equipment used in collecting the EMP signals. The length of the equipment's channel vectors varied from 800-1,200 points with frequency values spanning from 200 MHz up to 1 GHz. The channel response is complex-valued, and the frequencies do not have uniform frequency spacing conducive to Fourier processing. Each piece of equipment was sampled using multiple sampling frequencies and each channel was sampled in different sampling patterns.

In Figure 13, (a) and (c) illustrate two different channel spectra provided by NAWC-AD. The spectrum in Figure 13(a), “8694.cal,” is a vector of 1196 magnitude values across a range of 1 GHz. The minimum spacing between these frequencies was 28 Hz, with the maximum difference being 4.166 MHz. Figure 13(b) illustrates the irregularity of sampling by plotting the difference in frequencies between each successive frequency values across the entire $H(\omega)$ vector. It appears that there is a step like sampling pattern based upon the location in the frequency spectrum. Similarly, Figure 13(c) illustrates the $H(\omega)$ characteristics for the “wbal.cal” vector which is made up of 1196 frequency values. It has differences in frequency spacing ranging from 5 Hz to 2.79 MHz, although its behavior is exponential in shape as seen in Figure 13(d).

As discussed in Chapter II, discrete channel responses have equal and uniform spacing throughout the spectral range of interest such that there can be a one-to-one correspondence with the time domain. Also, the given channel frequency response represents only the positive frequencies from $0 \leq \omega \leq \pi$. Lastly, the measured channel response provided is sparsely defined where 800-1,200 discrete points represent an entire 1GHz bandwidth.

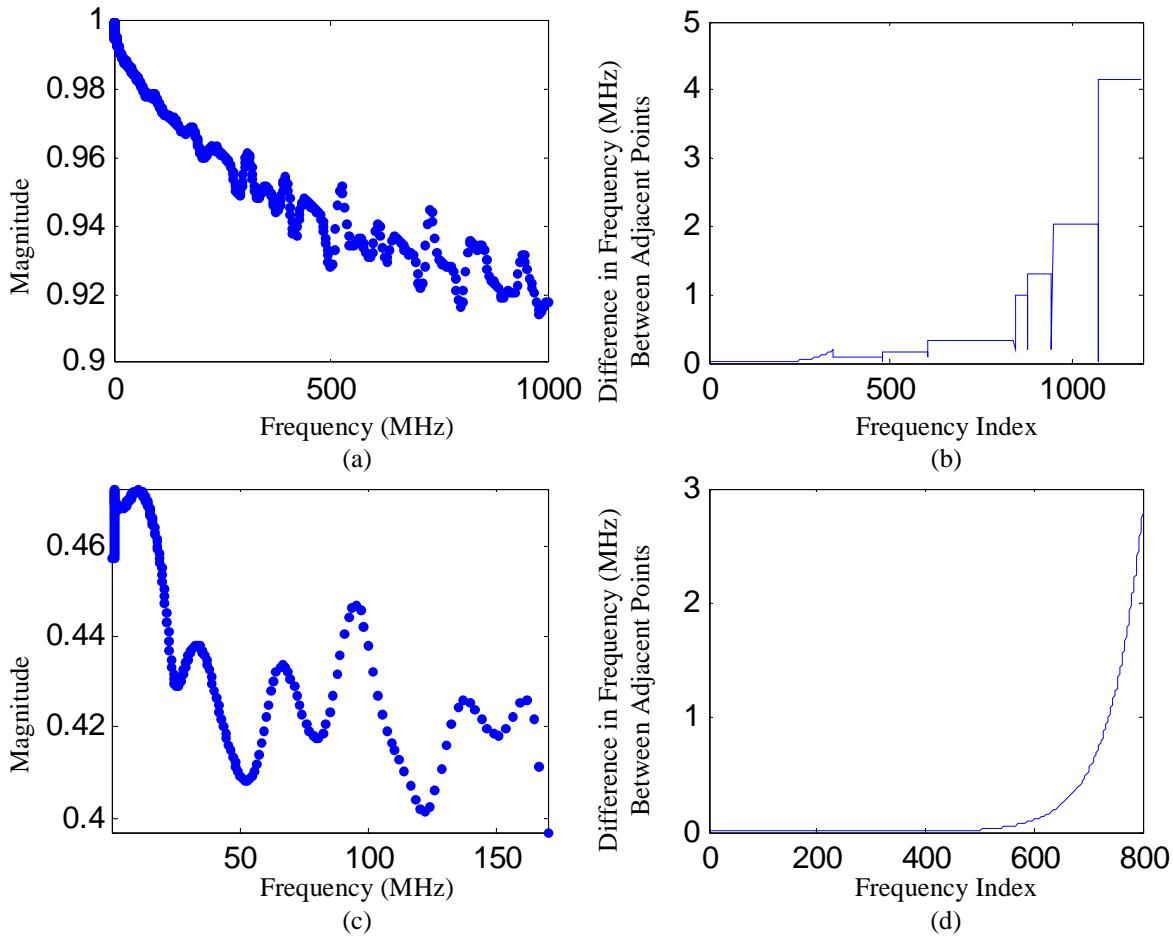


Figure 13. Channel Responses and the Sampling Rates of Channel. (a) Channel Frequency Response of “8694.cal.” (b) Difference in Frequency Values of Successive Frequency Index Points in Channel File “8694.cal.” (c) Channel Frequency Response of “wbal.cal.” (d) Difference in Frequency Values of Successive Frequency Index Points in Channel File “wbal.cal.”

As a result, there is required processing of the equipment frequency response vectors to conform to uniform frequency spacing of the discrete Fourier domain. Additionally, the spectrum will require having the digital frequency values from $-\pi \leq \omega \leq 0$. As a note, irregular sampling rates can be advantageous if gathered appropriately for a few reasons. Since Fourier transforms require uniform sampling rates throughout the spectrum, high sampling frequencies and wide bandwidths can take up large amounts of storage as well as require significant amounts of processing time. There is the potential to exploit these multi-rate characteristics in a more optimal manner to support using wavelet techniques versus Fourier transforms.

F. SIGNAL PRE-PROCESSING

There are three types of pre-processing that NAWC-AD performed prior to equalization. These are signal extraction, DC bias removal, and linear interpolation of channel characteristics. Before it can be determined whether more effective methods can be employed, existing processes must be discussed.

1. Signal Extraction

The technique of signal extraction in the time domain is utilized such that signal processing only occurs on information where the signal is present. There is the potential for adversely impacting the information by utilizing a portion of data in which only noise is present. Accordingly, it is beneficial to eliminate recorded information that doesn't contain the signal both before and after an EMP signal pulse. Signal extraction is done by NAWC-AD in the time domain through manual processing and through visual inspection of the recorded waveform. In each case, the information preceding the signal impulse is manually removed by the operator, and the data at the end of the recorded waveform are removed after it appears as though no signal is present in the recorded information. This method eliminates consistency from the test and evaluation process because it is manual and relies on a user interface decision. In this manner, often the same data can produce varying degrees of processing effectiveness due to the proficiency of the operator.

For a signal with no noise, it will have no average energy where the signal is not present and a positive value of energy wherever the signal is present. Any additive white

noise theoretically will create a noise floor. The average energy for a signal over a given data length interval can be found as shown

$$E_{AV}[n] = \frac{1}{N} \sum_{i=N-1}^0 |x[n-i]|^2 \quad (3.2)$$

where N is length of the signal vector. In portions where the signal is present, the average energy of the signal is additive to the noise floor.

In order to perform this in an automated manner, it was necessary to determine a threshold value that determines where the signal begins and where it ends. The threshold is calculated based on the average energy found at the beginning and end of the measured signal. For this algorithm to be successful, it must be assumed and required that there is no signal at the beginning or end of the measured signal.

Figure 14 (a) shows a sample waveform that has been recorded, that has sample values at the start and end of the information that do not contain the desired signal. When the average energy is calculated and plotted, as shown in 14(b), the noise floor can be noted at the start and end of the signal energy, and the location of the actual signal is evident. When extraction techniques are applied to the average energy plot, as shown in 14(c), by using a threshold value, the portions of the signal assumed to have no signal elements are removed, leaving only the length of the information containing the signal. Lastly, 14(d) shows the extracted signal with non-signal portions removed.

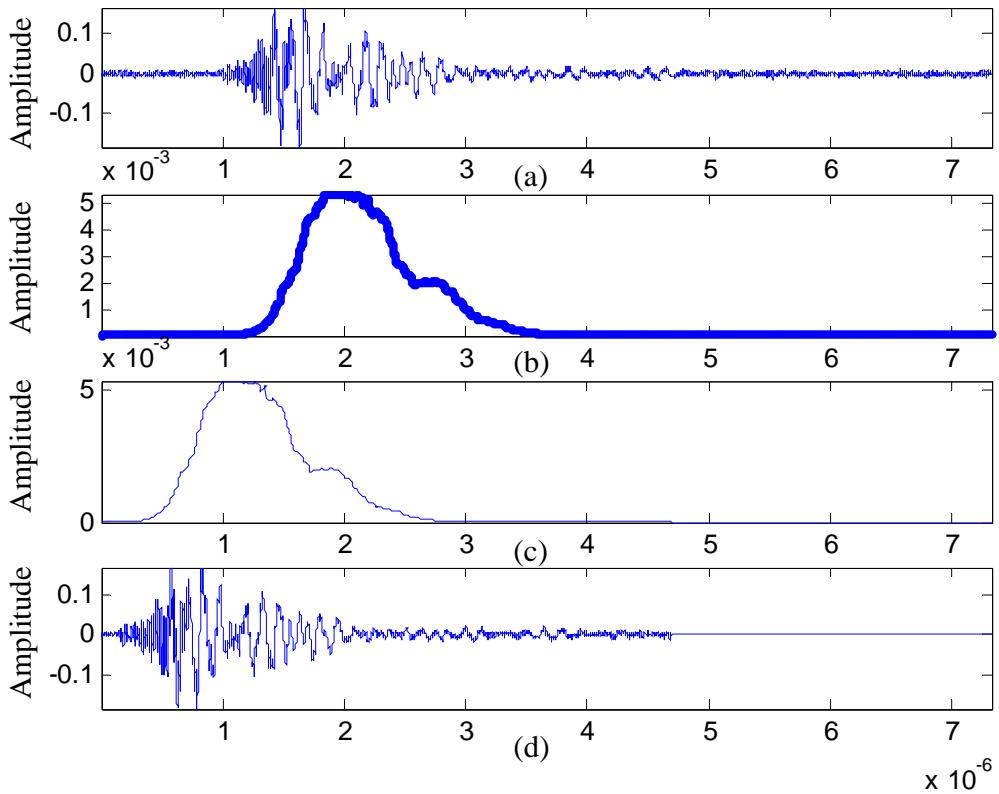


Figure 14. Example of Signal Extraction. (a) Original Waveform. (b) Time Average Energy of Waveform. (c) Range of Time Average Energy After Signal Points Below Threshold Have Been Removed. (d) Final Extracted Signal.

For this process, if the threshold is too large in magnitude, a portion of the signal could be lost which may have an adverse affect on the processing. If the threshold is too small, it is possible that the noise is not completely removed and a portion of the recorded samples that have no presence of the signal is retained. This additional noise could distort results. The selection of the appropriate threshold value may have to be done by trial and error.

Figure 15 shows the effects of using different threshold values. Figure 15(a) is the actual measured waveform using no threshold value. It characterizes the case where portions of the recorded waveform containing no energy of the signal remain. With a threshold value of 1, as in Figure 15(b), the extracted signals appear to have the correct balance of signal removal that is expected. In Figures 15 (c) and (d), the threshold value was selected to be too large, and significant portions of the signal are removed. By visual

inspection, a threshold value close to 1 appears to be optimum for this waveform as it is extracting the entire signal present in the data with the portions of the noise removed.

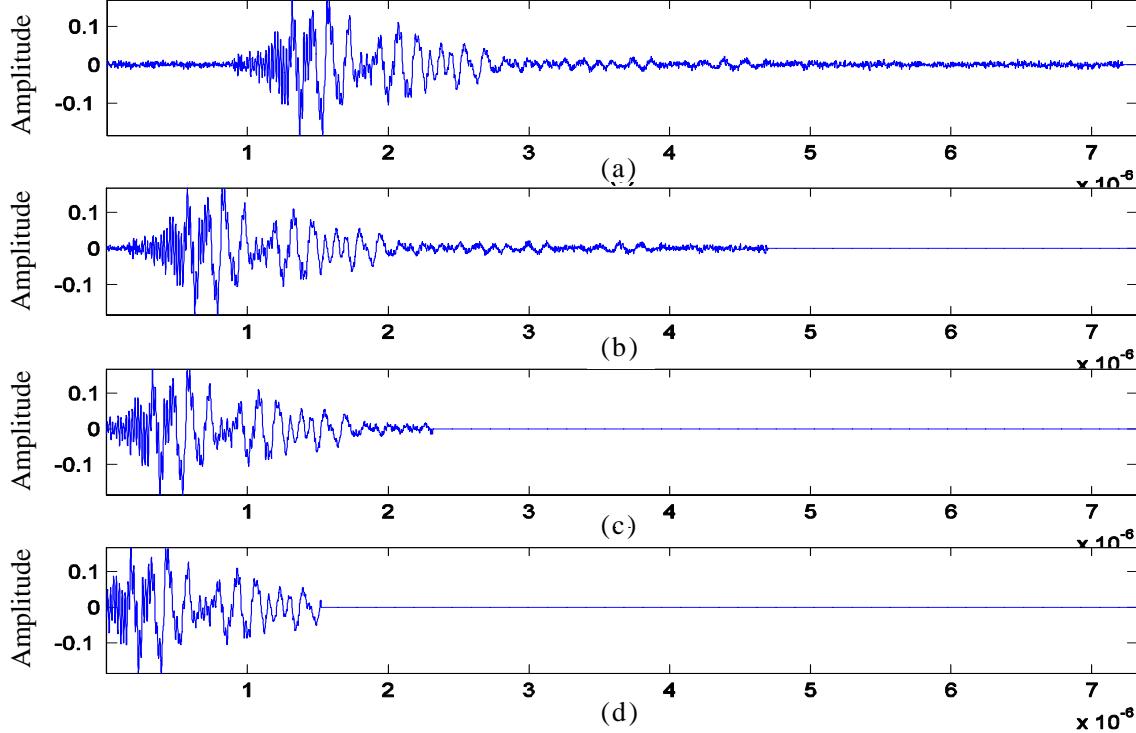


Figure 15. Signal with Varying Levels of Energy Threshold Values. (a) Threshold = 0.1. (b) Threshold = 1. (c) Threshold = 20. (d) Threshold = 80.

2. DC Bias Cancellation

System electrical components may contain a DC bias in their equipment that would shift the values of the entire waveform. This is an undesired effect of the acquisition electronic equipment and may be removed. The technique of averaging is performed to eliminate the effects of the DC bias in system equipment. In an environment where no signal is present, it is assumed that the average value of energy would be zero as it is with white noise. When signals travel through electronic equipment, an artificial DC gain can manifest itself onto the signal. DC averaging is the technique to determine that value such that it can be removed from all the data. The current method that NAWC-

AD uses for DC averaging is to take the first 20-150 waveform values of the recorded signal and compute the average value of these points. This value is then removed from all signal sample values.

As far as the experiments are concerned, it was not possible to verify whether the cancellation of DC bias actually improved performance. Because the DC bias is introduced into the channel in the acquisition suite, the noise contribution would need to be artificially added, only to be removed. With no true signal $s[n]$ available, it is not possible to verify this improvement. Therefore, there are no results provided for this topic.

3. Linear Interpolation of the Channel Frequency Response

As mentioned, the channel response is provided in non-uniform sampling intervals. In order to provide equalization of the discrete waveforms in the method discussed above, both the signal vector and the channel must have an equal number of points and the same digital frequency in order to perform processing. In the channel information's raw form, there is a disparity between the length of the signal vectors and the channel frequency response. Therefore, the channel response requires preprocessing before it can be applied to the signal.

It is necessary to fill the channel spectrum with magnitude values for each of the data frequency intervals or bins. This is accomplished through linear interpolation of the channel response. A uniformly sampled data set of length N , sampled at a rate of F_s , will have an FFT of length N with frequency bins spaced F_s/N Hz apart. The channel responses for the given equipment do not have such a uniform sampling as described above.

In order to equalize the measured waveform, a magnitude value must be found for the channel response at each discrete frequency of the data. As shown in Figure 16, a magnitude is linearly interpolated from successive points in the channel frequency response for frequency values dictated by the measured EMP waveform frequency bins. This process is iterated for each FFT frequency bin so that the resultant channel response will have an equal number of points with corresponding magnitude values at each

frequency represented in the signal's frequency response. Those values of the channel response that extend past half of the sampling frequency of the data are disregarded.

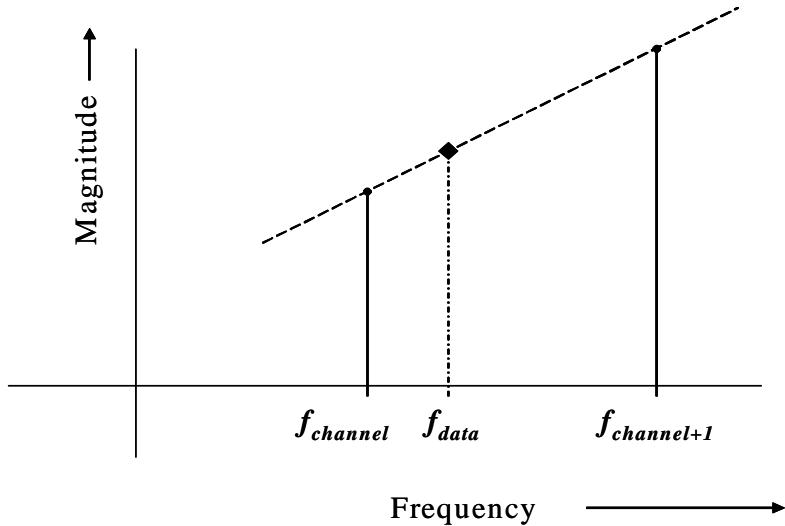


Figure 16. Linear Interpolation of Channel Response. Frequencies $f_{channel}$ and $f_{channel+1}$ Represent Successive Points in the Channel Spectrum. Frequency f_{data} Represents Location of the Frequency Bin of Data in the Frequency Domain.

This chapter discussed the aircraft testing scheme as performed by NAWC-AD for EMP pulses as well as discussed the model for which they equalize the raw results. It introduced the concept of equalization as applied to the NAWC-AD process of removing acquisition effects to the system. This chapter also presented the measured signal characteristics and the measured channel responses, noting challenges and preprocessing requirements prior to performing signal equalization. Lastly, this chapter described three pre-processing techniques that NAWC-AD implemented prior to equalizing the signal data. The next chapter will present four equalization techniques using Fourier and wavelet transforms, as well as the implementation of de-noising and thresholding.

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IV. EQUALIZATION METHODS AND WAVELET SCHEMES

The previous chapters discussed the system and parameters pertinent to measured EMP waveforms and the channel as well as pre-processing and equalization techniques that may be applied to processing the measured information. Three different equalization methods were designed to process the recorded EMP-signals using wavelet transforms to acquire results. The EMP signals were also processed using the Fourier transform method currently used by NAWC-AD for comparison. This chapter details the algorithms and steps taken to equalize the waveforms and to reconstruct the signal received at the aircraft test-points.

A. EQUALIZATION

Using the principles described in Chapter II and the knowledge of the system, a system model was required to simulate the channel and filters. Using recorded data from NAWC-AD, it was possible to implement a model of the aircraft testing and recording system in MATLAB and apply wavelet-based signal processing techniques in place of those currently used by NAWC-AD. The remainder of this chapter summarizes the techniques employed for this analysis.

1. Equalization using Wavelets

To implement equalization using wavelet transforms and perform filtering at the sub-band level, a generic model of the system was required to employ wavelet techniques as shown in Figure 17. The process requires wavelet decomposition, followed by filtering, and then synthesis of the filtered waveform.

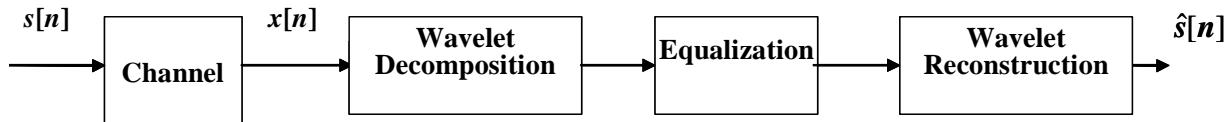


Figure 17. System model using Wavelet Processing for Equalization.

Focusing on the equalization process, a filter bank structure as shown in Figure 18 illustrates the equalization process. The input signal $x[n]$ is decomposed into sub-band components using the filters h' and g' discussed in Chapter II, filtered for equalization, and then reconstructed into $\hat{s}[n]$. Each individual filter lengths of equalization filters 1-4 are matched to the length of sub-band signals for processing. All filters were developed and implemented using MATLAB.

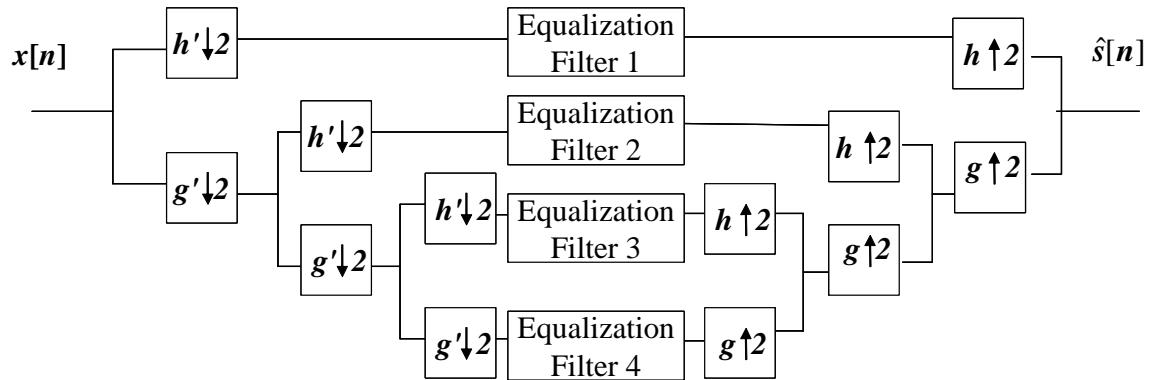


Figure 18. Structure of Wavelet Analysis and Synthesis with Equalization Filtering.

The measure of system performance for the purpose of comparing the algorithms developed in this chapter, the Mean Squared Error (MSE) between the desired waveforms $s[n]$ and the estimated waveform $\hat{s}[n]$, is computed as [9]

$$\varepsilon_{\text{MS}} = \frac{1}{N} \sum_{n=0}^{N-1} (s[n] - \hat{s}[n])^2 \quad (4.1)$$

where N is the numbers of signal values, and the desired waveform, $s[n]$, is compared against the estimated signal, $\hat{s}[n]$. Mean squared error is one of many possible measures of comparison, but it is the only one utilized to compare the results of the experiments reported here.

2. Equalization Techniques

Three algorithms were derived to accomplish this equalization processing. All techniques were based on wavelet decomposition of recorded EMP waveforms, but

varied in types of wavelet functions, filter orders, and preprocessing techniques. The three best performing methods, as well as the technique used by NAWC-AD, were evaluated and compared. The fourth method is the model of equalization employed by NAWC-AD.

a. Method 1 - Time Domain Filtering of Sub-band Time Domain Signals with Inverse IIR Filter

The first method of equalization is an algorithm that decomposes the EMP signal $x[n]$ into sub-band time domain signals, and filters each sub-band signal by an IIR filter constructed using the Yule-Walker method having the form $\frac{A(z)}{B(z)}$.

As shown in Figure 19, the recorded EMP signal, $x[n]$, is decomposed using the DWT into approximation and detail coefficients. Each set of coefficients is then selectively reconstructed as discussed in Chapter II into time domain sub-band signals of appropriate length and sampling frequency of the data. These multiple signals are then filtered and equalized in the time domain. The resultant time-domain sub-band signals are decomposed back into their respective coefficients, and then reconstructed back into a full spectrum time domain signal. During decomposition, sub-band coefficients outside the filtered sub-bands are discarded and coefficients are set to zero.

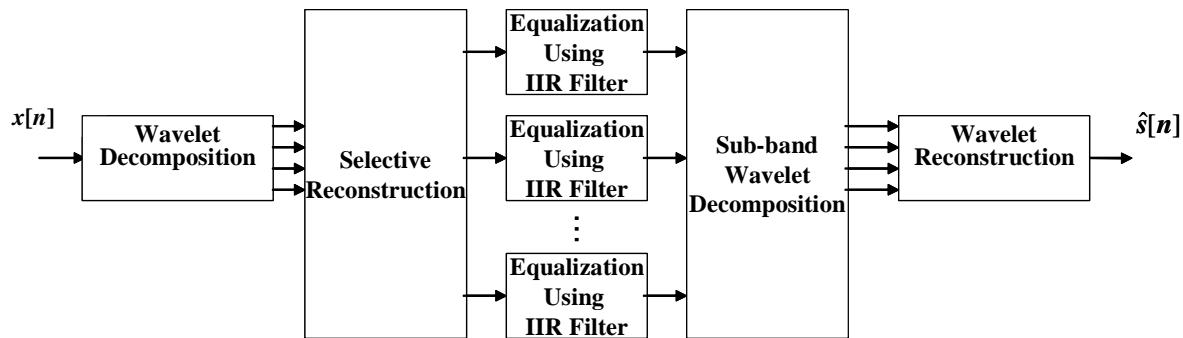


Figure 19. Method 1: Processing of Signal Waveforms Using Wavelet-Based Techniques.

b. Method 2 – Frequency Domain Filtering using Channel Frequency Response

The second method of equalization occurs in the frequency domain versus the time domain. Like Method 1 (see Figure 20), the algorithm decomposes the signal into coefficients and reconstructs the sub-band signals into the time domain. It then takes the Fourier transform of each sub-band signal and then filters each sub-band signal by dividing the frequency response of the channel. The resultant frequency domain signals are transformed back to the time domain using the inverse Fourier transform, where the time-domain sub-band signals are decomposed into wavelet coefficients. The respective coefficients are then used to reconstruct the complete signal back to the time domain.

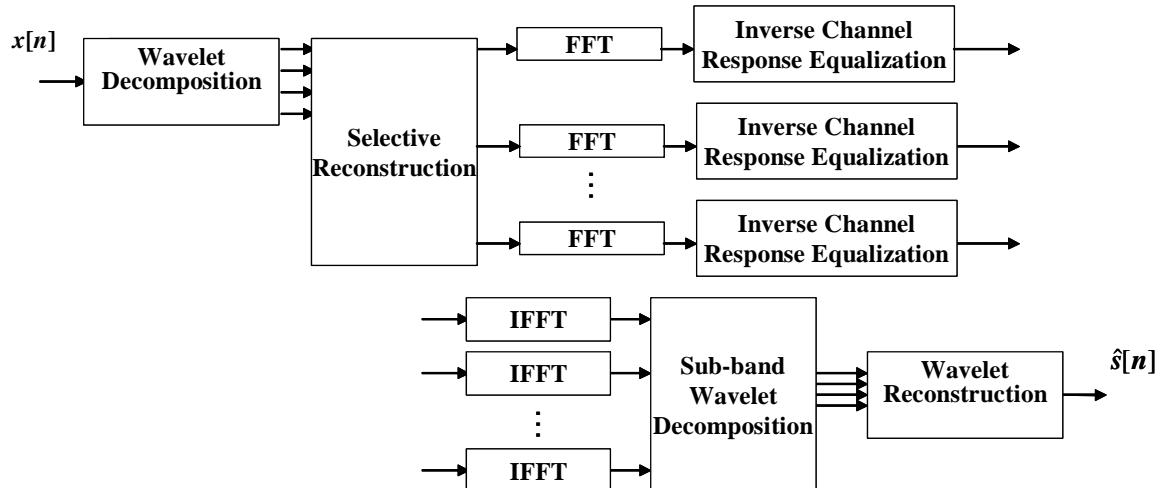


Figure 20. Method 2: Processing of Signal Waveforms Using Wavelet-Based Techniques, and Fourier Transforms.

c. *Method 3 - Time Domain Filtering of Wavelet Coefficients using Inverse IIR Filter*

The third algorithm, as shown in Figure 21, decomposes the EMP signal $x[n]$ into wavelet approximation and detail coefficients. Then each of the sub-band filter coefficient sets is filtered by the IIR equalization filter $\frac{A(z)}{B(z)}$ designed based on the channel response information. The signal is then reconstructed using the sub-band coefficients. The difference between this procedure and that of Method 1 is that the

coefficients are not selectively reconstructed back to their time domain forms. The signal's sub-band components of variable lengths are filtered accordingly.

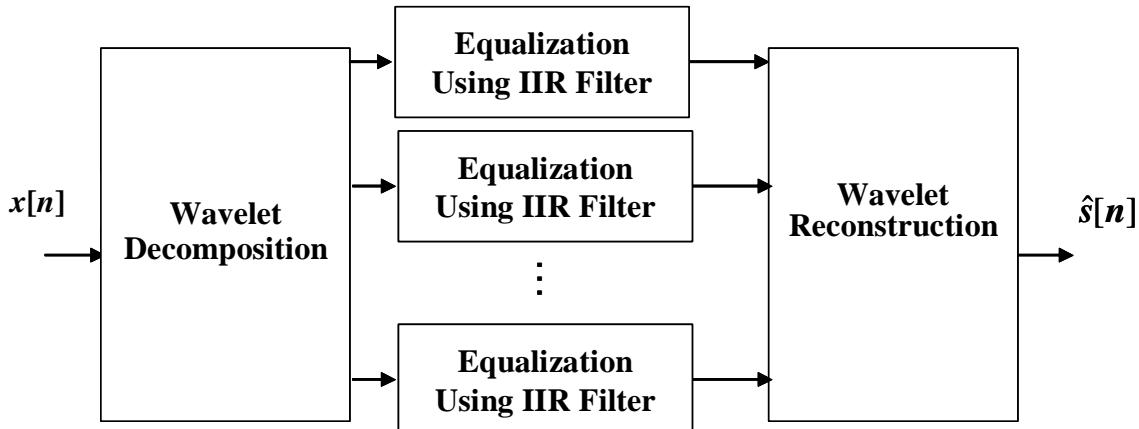


Figure 21. Method 3: Processing of Signal Waveforms Using Wavelet-Based Techniques and IIR Filtering.

d. Method 4 – NAWC-AD Method

The NAWC-AD method filters the recorded signal, $x[n]$, in the time domain by using the vector multiplication of the channel's inverse response as shown in Figure 22. The time domain signal is then recovered by using the IFFT. This method was discussed in Chapter II.

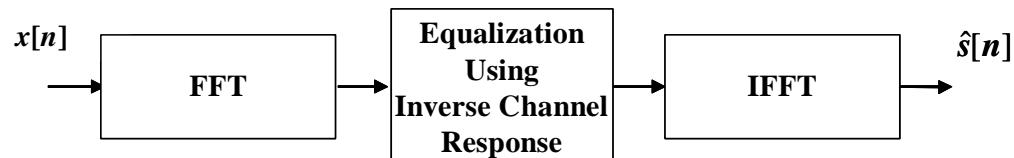


Figure 22. Method 4: Processing of Signal Waveforms Using Fourier Based Transforms as Performed by NAWC-AD.

B. SUB-BAND FILTERING AND NOISE REMOVAL

Although de-noising is a powerful technique available using wavelet transforms, a detailed evaluation was not explored in this thesis. However, as a demonstration of de-noising techniques, a soft thresholding de-noising filter using a threshold based on Stein's unbiased risk calculations (provided by MATLAB) was applied at each sub-band to produce results for comparison. As shown in Figure 23, the process for de-noising occurs after signal decomposition and before equalization. The threshold calculation noted above is standard across the entire signal.

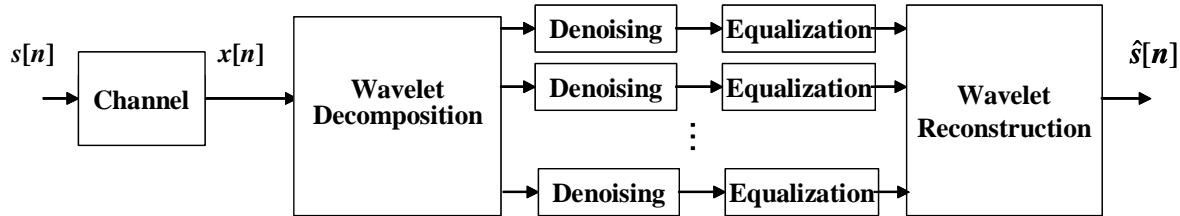


Figure 23. De-noising Model for Processing EMP Waveforms.

Further investigation would be necessary to determine the best method for each given channel, signal type, and wavelet basis function.

1. System Model with Additive White Gaussian Noise

To further analyze the proposed equalization techniques, an investigation was conducted into the performance of these Fourier and wavelet transform methods when there is noise present. A system model with additive white Gaussian noise of varying levels added to the signal was developed as shown in Figure 24. Noise is introduced into the system by adding it to the recorded signal, $x[n]$.

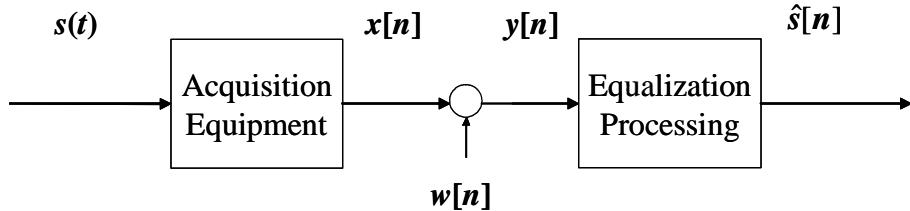


Figure 24. System Diagram with the Addition of White Noise, $w[n]$.

Using different methods of equalization described above, the resultant waveform $\hat{s}[n]$ was compared to the original noise-free waveform over a wide range of $w[n]$ power levels. This further expands the analysis of this experiment by comparing the performance of the algorithms in noise-free and noisy environments and will be further discussed as part of the results in Chapter V.

This chapter provided the specific models that were implemented to verify whether or not wavelet-based methods could outperform existing methods. It outlined methods and configurations used for equalization. Finally, it addressed wavelet thresholding and the potential for data de-noising. The next chapter documents the results of the system simulations and experimental results.

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V. SIMULATION RESULTS

The previous chapters discussed many of the signal processing principles and techniques that apply to the NAWC-AD EMP waveform processing. An experiment was defined in order to test proposed alternative techniques. The experiment was conducted with five types of wavelets using the four methods discussed in Chapter IV. Comparison between three and five levels of wavelet decomposition was used since early experimental analysis showed that performance degraded as the number of levels exceeded five. Additionally, each set of data was processed using signal extraction and de-noising techniques as well. This chapter presents results that characterize performance of the various techniques compared to the present method of signal processing. As discussed in Chapter IV, the measure of performance is the mean squared error between the input data and the equalized estimate of the measured EMP signal. Since the FFT-based NAWC-AD technique, Method 4, is the currently used method for processing the EMP signals, all proposed techniques will be measured against it.

There were two primary experiments conducted for this thesis. The first involved a comparison of the different wavelet-based techniques for equalization of the system with the given signal information provided by NAWC-AD. The second experiment investigated the performance of these methods with the addition of additive noise.

A. SIMULATION MODEL FOR ANALYSIS OF SYSTEM WITH NO ADDITIVE NOISE

There were two models used for analysis in the experiment. All models employed an IIR filter representing the acquisition equipment channel constructed from the channel frequency response information provided by NAWC-AD. The filter is implemented in time domain to keep uniformity in its implementation. Figure 25 depicts the basic process of the experiment.

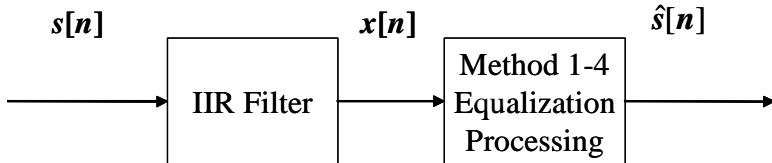


Figure 25. Basic Model of System Experiment.

In addition, as described in Chapter III, the technique of signal extraction was noted as a mechanism of improving performance and is incorporated into the model as can be seen in Figure 26.

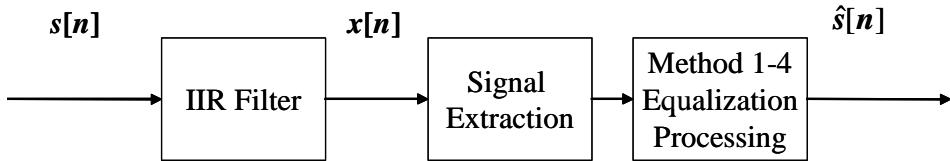


Figure 26. Basic Model of System Implementing Signal Extraction Technique.

Each of these models supports analysis of different types of wavelets processing techniques against the Fourier transform based processing, as well as performance comparison of different wavelets against each other. The signal used for all experiments, with exception to a waveform to waveform comparison discussed below, used Waveform 1 depicted in Figure 12(a). The types of wavelets used in the process were arbitrarily selected and are Haar, Meyer, Coiflet, Daubechie's Order 3, and Daubechie's Order 15 filters. In this experiment, 3-5 levels of wavelet decomposition were evaluated as it was noted during experimentation that they provided the best results. Mean Squared Error (MSE) as defined in Chapter IV was the basis of comparison. The technique of de-noising is compared in each model; de-noising occurs as a function after the signals have been decomposed into wavelet sub-bands. A comparison of these results will follow for each model.

1. Equalization with No Signal Extraction

The first set of results is the comparisons of the mean squared error values with no de-noising as shown in Figure 25. It is a direct comparison of wavelet methods, Methods 1-3, against the Fourier transform technique, Method 4, using different wavelet basis functions and various levels of decomposition. As noted, Waveform 1, a wideband waveform of 16,384 points, was used following the process model of Figure 25 without signal extraction. Each of the four methods of equalization was discussed in Chapter IV, and wavelet decomposition is provided to the 3rd, 4th and 5th levels. The channel frequency response used in these models was based on “8694.cal” depicted in Figure 13a. It is a 1,196-point Fourier domain response, non-linearly spaced, from 0-1GHz. This response, therefore, required linear interpolation of its frequency values as discussed in Chapter III, Section F. Additionally, the mean squared error values are expressed in decibels (dB) since the absolute values are relatively small. Results of these simulations can be seen in Table 1.

Haar Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-89.62654218	-64.18408051	-79.94143096	-129.38375380
4	-88.02121882	-64.18403540	-76.75129362	-129.38375380
5	-86.68836043	-64.18404263	-74.06419920	-129.38375380

Daubechies Order 3 Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-89.14776518	-64.17712981	-79.74522967	-129.38375380
4	-87.93476348	-64.17463116	-76.59660948	-129.38375380
5	-86.36230323	-64.17081395	-73.82303546	-129.38375380

Daubechies Order 15 Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-92.69689015	-64.18181895	-79.74779153	-129.38375380
4	-91.12815898	-64.17953860	-76.54029373	-129.38375380
5	-88.01481085	-64.17318187	-73.75515026	-129.38375380

Coiflet Order 1 Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-89.99558145	-64.18042512	-79.89040809	-129.38375380
4	-88.63392335	-64.17931756	-76.68335041	-129.38375380
5	-86.39083615	-64.17317788	-73.89602337	-129.38375380

Meyer Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-78.08774551	-63.52205199	-76.54194364	-129.38375380
4	-75.06072253	-63.03518563	-73.11895494	-129.38375380
5	-72.76247718	-62.46281427	-70.42286280	-129.38375380

Table 1. Mean Squared Error in dB Using All Three Techniques for Various Wavelet Basis Functions and Multiple Level Decompositions and the Fourier method. Signal is Not Extracted from Data.

Overall, the performance of Method 4 exceeds that of all other methods. The closest performing method to Method 4 is Method 1 using Daubechie's order 15 wavelet, with three levels of decomposition. As can be seen, the wavelet basis function has an impact on performance as well as the level of decomposition. The performance of Method 1 is the best in the no noise comparison, followed by Method 3 and then Method 2. Since the Method 1 filters are derived directly from the channel frequency response, this is expected. Also, the performance of the wavelet methods degrades as the number

of levels is increased for each of the wavelet families. As wavelet basis functions, Daubechies's order 15 wavelet has the best performance in Method 1, but for Methods 2 and 3 the Haar wavelet performs the best.

2. Equalization with Signal Extraction

The next set of results captures the effectiveness of the signal extraction algorithm described in Chapter III, Section F. For consistency, Waveform 1 and channel response “8694.cal” were used again with the same preprocessing and equalization methods as in the above discussion. Table 2 captures the results of this comparison (same results as in Table 1, but incorporating signal extraction in preprocessing as depicted in the model in Figure 26).

Haar Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-90.34595401	-70.62104470	-80.60305640	-124.69071864
4	-88.42929362	-70.62113959	-77.06934195	-124.69071864
5	-87.46709193	-70.62039117	-74.23882826	-124.69071864

Daubechies Order 3 Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-94.14439650	-70.61933990	-80.53273178	-124.69071864
4	-92.47409703	-70.61814619	-76.96421916	-124.69071864
5	-89.78405227	-70.61533035	-74.01710269	-124.69071864

Daubechies Order 15 Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-96.53859183	-70.61866118	-80.44569092	-124.69071864
4	-95.66683134	-70.61739868	-76.90756454	-124.69071864
5	-91.83419067	-70.60704310	-73.94666010	-124.69071864

Coiflet Order 1 Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-92.87670574	-70.61996912	-80.48567609	-124.69071864
4	-91.28425462	-70.61972883	-76.97295599	-124.69071864
5	-88.52289832	-70.60924047	-74.05139371	-124.69071864

Meyer Wavelet

Level	Method 1	Method 2	Method 3	Method 4
3	-78.46926556	-69.20423197	-76.90477957	-124.69071864
4	-75.28444411	-68.17274988	-73.30868711	-124.69071864
5	-72.90028900	-67.11307077	-70.53191399	-124.69071864

Table 2. Mean Squared Error in dB Using All Three Techniques for Various Wavelet Basis Functions and Multiple Level Decompositions and the Fourier method using the model in Figure 26.

Similar to the results without signal extraction (see Table 1), Method 4 produces the most similar results between the input signal and desired signal. Additionally, using Method 1 and Daubechie's order 15 wavelet with three levels of decomposition provides the best results when compared with the other methods and wavelet families. In this noise-free environment, the signal extraction algorithm performance is slightly improved from the non-extraction case.

B. SIMULATION MODEL FOR ANALYSIS OF SYSTEM WITH WHITE NOISE

The second experiment, based on the models depicted in Figures 27 and 28, captures the performance of both the wavelet and Fourier methods as a function of the signal-to-noise ratios (SNR); the SNR is the ratio of signal power to noise power:

$$SNR = \frac{\sum_n x[n]^2}{\sum_n w[n]^2} \quad (5.1)$$

where $x[n]$ and $w[n]$ are the signal and noise samples, respectively. The range of SNR evaluated for each signal was from -30 dB to 30 dB, but for each plot, the results are shown only for the range of interest.

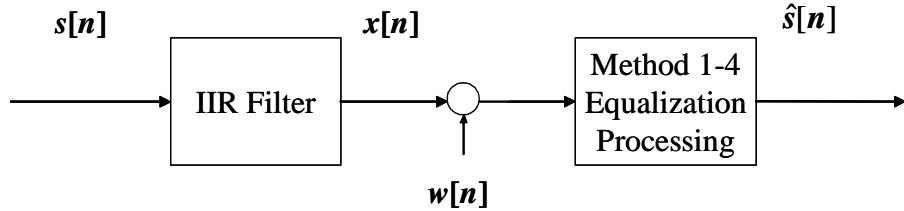


Figure 27. Basic Model of System Experiment with the Addition of White Noise.

As in the previous section, the following section will compare results with and without signal extraction, as well as with and without de-noising. In each case, the graphical illustrations capture the comparisons between Methods 1-3 and Method 4. The signal and channel response used are the same as in the preceding sections: Waveform 1 and channel response “8694.cal”. With the exception of adding white noise, the signal and channel response preprocessing steps remain the same as described above. Additionally, the mean squared error in each plot will be expressed in dB.

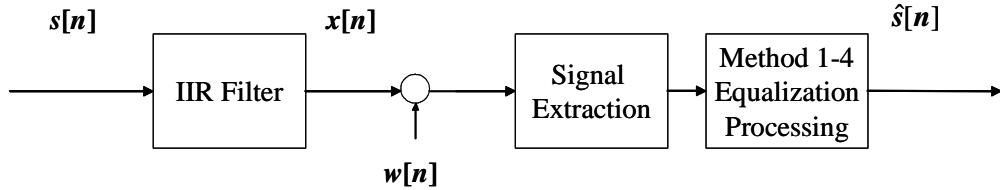


Figure 28. Basic Model of System Experiment with Additive White Noise and Implementing Signal Extraction Technique.

1. Equalization Results with Additive Noise with No Signal Extraction

The results in this section show a comparison between the extracted waveforms as a function of the signal-to-noise ratio with additive white noise. It uses Waveform 1 and “8694.cal” as in the last section, as well as the same algorithms described in Chapter IV. For comparison of each method, Daubechies’s Order 3 Wavelet Level 3 was used for wavelet decomposition for Method’s 1-3. For this section, the signal of interest is not extracted from the complete recorded signal.

a. *Results without De-Noiseing*

In this case, the signal has not been extracted and de-noising is not applied at the sub-band level. Among all four methods, there is little difference in performance as seen in Figure 29 (a).

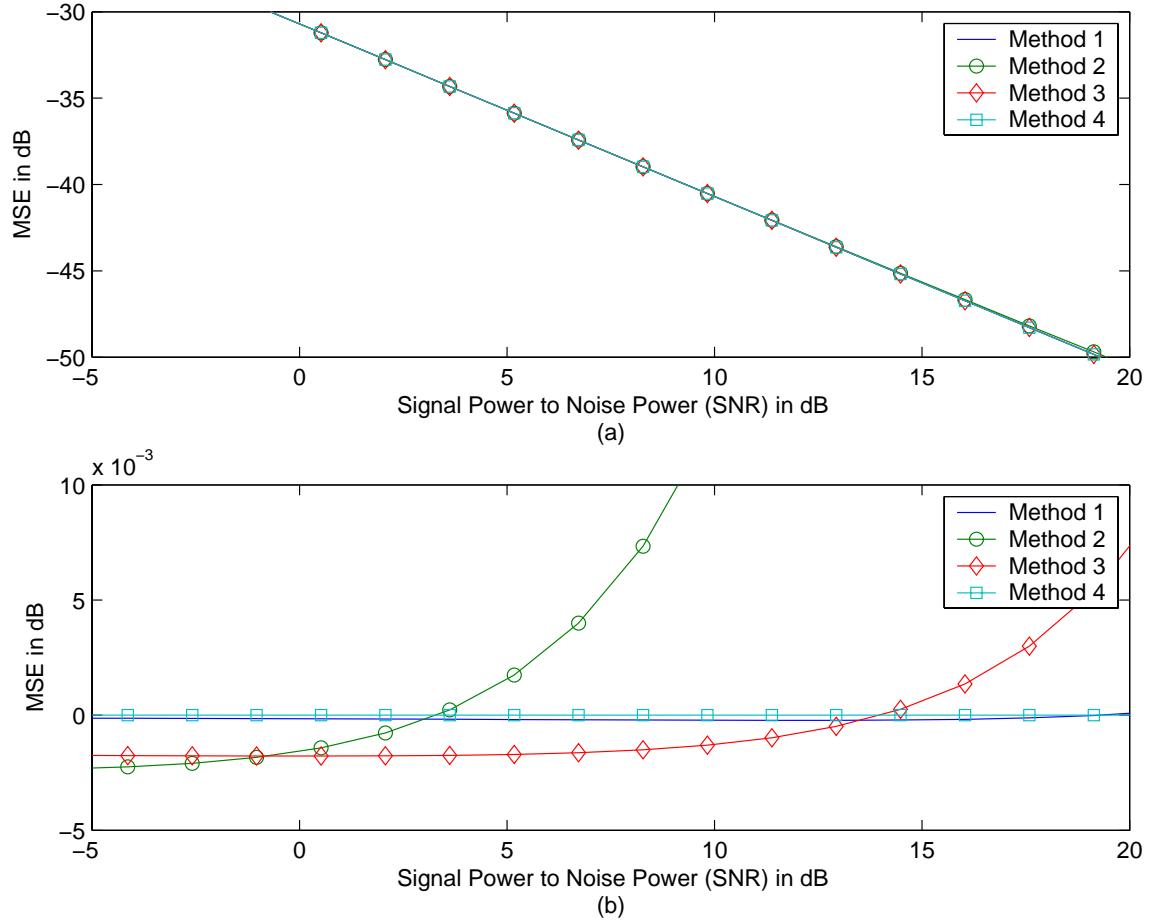


Figure 29. No Signal Extraction and De-noising. (a) Waveform 1 with Additive Noise Using Methods Using Daubechies's Order 3 Wavelet Level 3. (b) Normalized Results Using Daubechies's Order 3 Wavelet Using Level 3.

Figure 29 (b) shows a comparison of the results normalized with respect to those of Method 4. The performance of Methods 2 and 3 have improved over that of Method 4 for SNR less than 3 dB and 13 dB, respectively. Although the degradation in performance of MSE of Methods 2-3 appears to exponentially increase above the 0 dB point, it must be noted that the scale of the y-axis is on the order of thousandths of a dB.

b. *Results using De-noising*

When the same experiment was conducted for a signal with additive noise and no signal extraction, but with de-noising techniques, the results are significantly different. As can be seen in Figure 30 (a), the results with de-noising have notably

improved for SNR less than 17 dB. However, for SNR values greater than 17 dB, the results do not compare closely. These results are not a significant improvement to those above where de-noising was not implemented as the signal to noise ration of the signal increases.

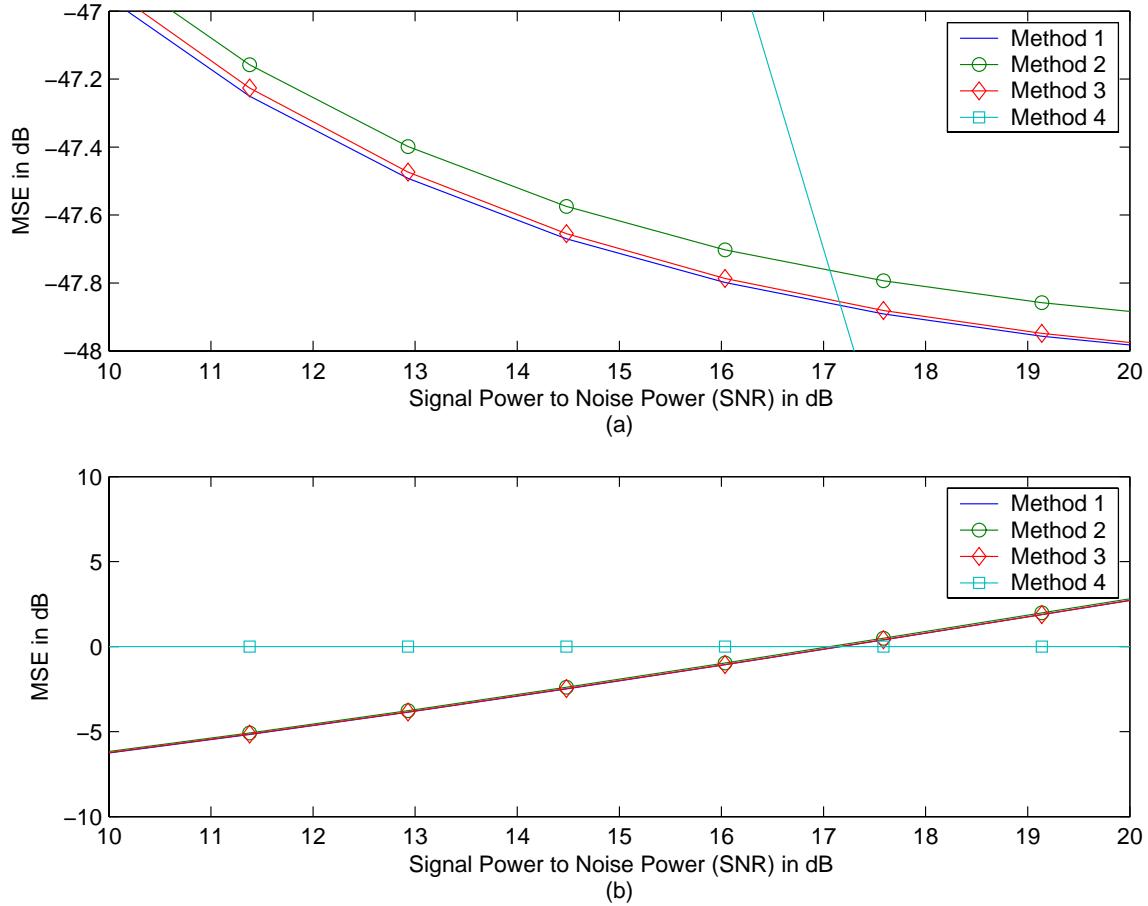


Figure 30. No Signal Extraction. (a) Waveform1 with Additive Noise with De-noising Using Daubechies's Order 3 Wavelet Level 3. (b) Normalized Results with De-noising Using Daubechies's Order 3 Wavelet Using Level 3.

The time domain plots for this case are displayed in Figure 31 at different stages of the processing. It illustrates the impact of additive white noise and visually shows the results at 15 dB SNR. It also demonstrates the effect with and without de-noising in the algorithm between the input signal, $s[n]$, and the resultant filtered signal, $\hat{s}[n]$.

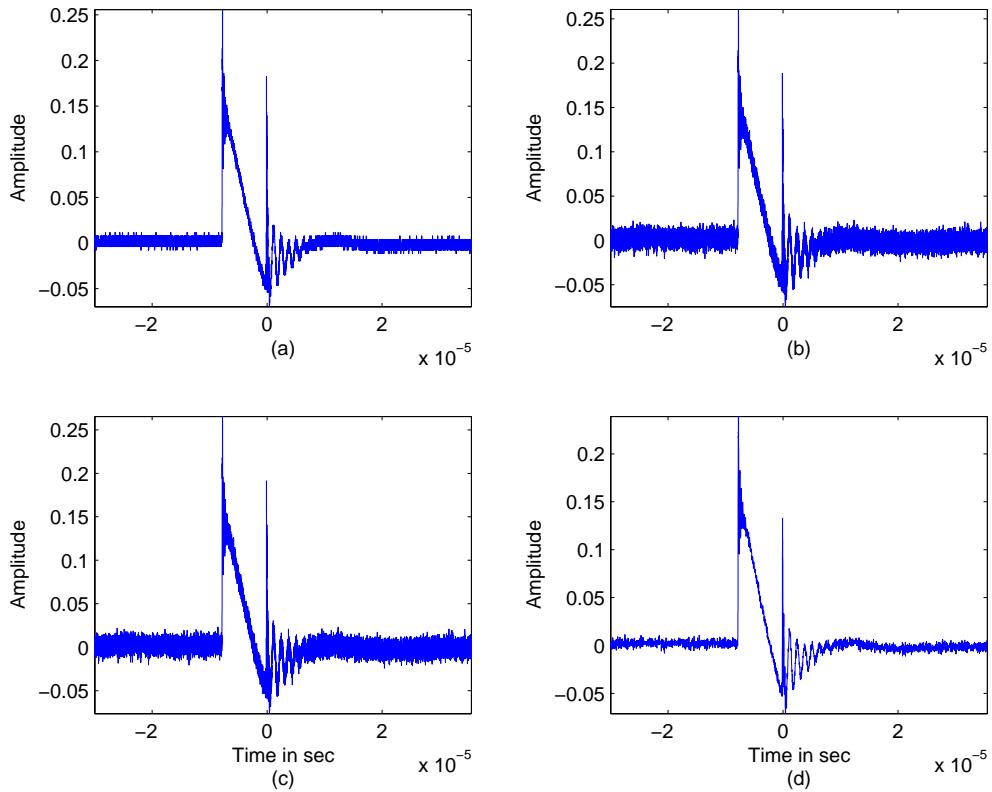


Figure 31. Waveform 1 with No Signal Extraction. (a) Original Input Signal. (b) Signal with Noise (SNR of 15 dB). (c) Equalized Signal Using Method 2 without De-noising Using Daubechies's Order 3 Wavelet. (d) Equalization Using Method 2 with De-noising Using Daubechies's Order 3 Wavelet.

c. Comparison against Other Waveforms

To demonstrate the importance of selecting a wavelet appropriate for the type of signal being processed, the following comparison shows a given wavelet against four types of data. Figure 32 shows a normalized comparison between these four different waveforms which were previously shown in Figure 12. The experiment was conducted with a common channel response, no signal extraction, no de-noising and using Daubechies's order 3, and 3 levels of decomposition.

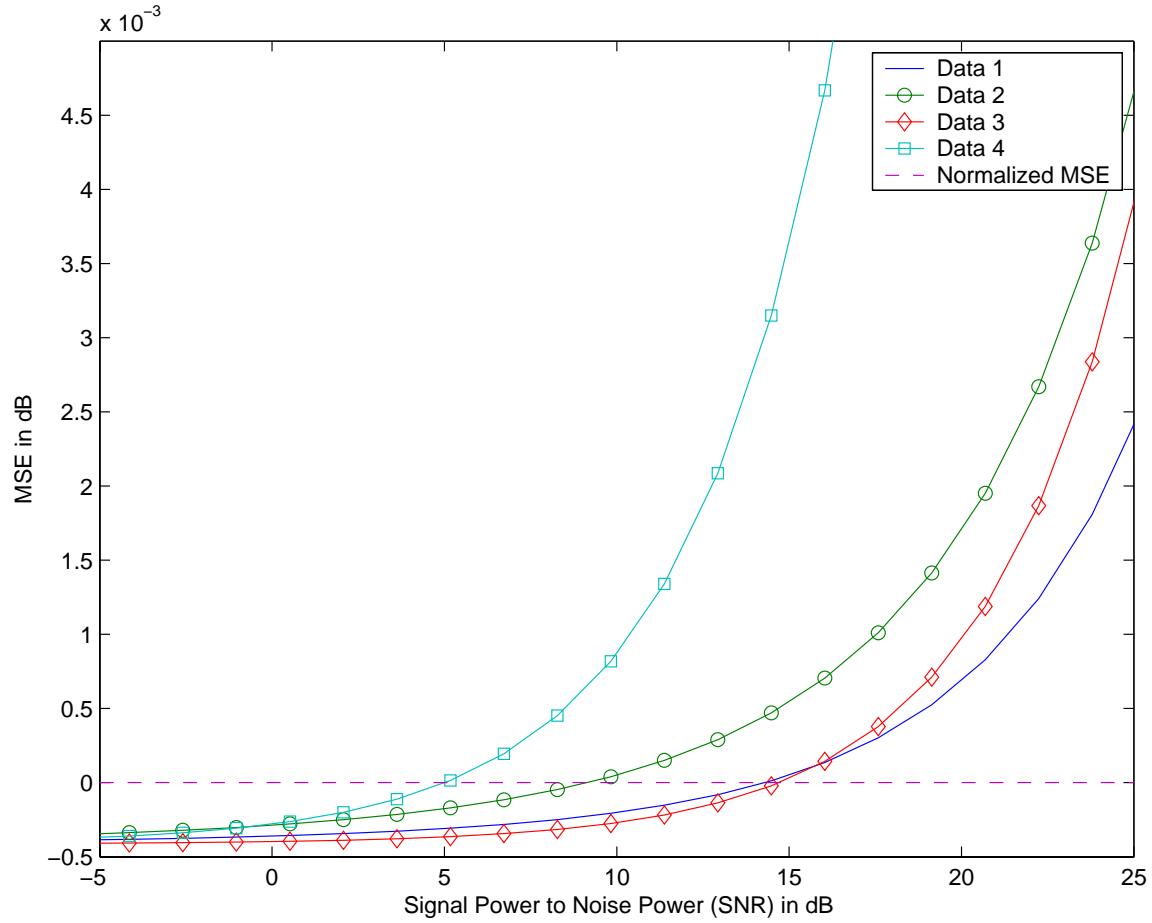


Figure 32. Comparison between Each of the Waveforms with No Signal Extraction and Normalized MSE Values and Using Daubechie's Order 3, and 3 Levels of Decomposition.

Figure 32 clearly shows the impact of different signals with a given wavelet family. There is up to 10 dB of degradation in performance using the same wavelet family, but applied to different waveforms. This observation indicates that better performance can be achieved by selecting wavelets that correlate well to the waveforms being processed.

2. Equalization Results with Additive Noise with Signal Extraction

The same experiment as above is repeated with signal extraction as depicted in Figure 28, using Waveform 1, “8694.cal” as a filter response, and Daubechie's order 3,

and 3 levels of decomposition for Methods 1-3. The only difference is the use of the signal extraction method discussed in Chapter IV. Effects of the use of de-noising are also explored.

a. *Results without De-Noising*

Figure 33(a) shows that, using the configuration noted above but without de-noising, there is limited overall improvement as the SNR is increased. A closer look at Figure 33(b), which is a normalized plot with respect to Method 4, it can be seen that there is a marked improvement of performance for SNR less than 13 dB, but degradation occurs at high SNR values (above 15 dB). The results for Methods 1 and 3 are within a hundredth of a dB for this case.

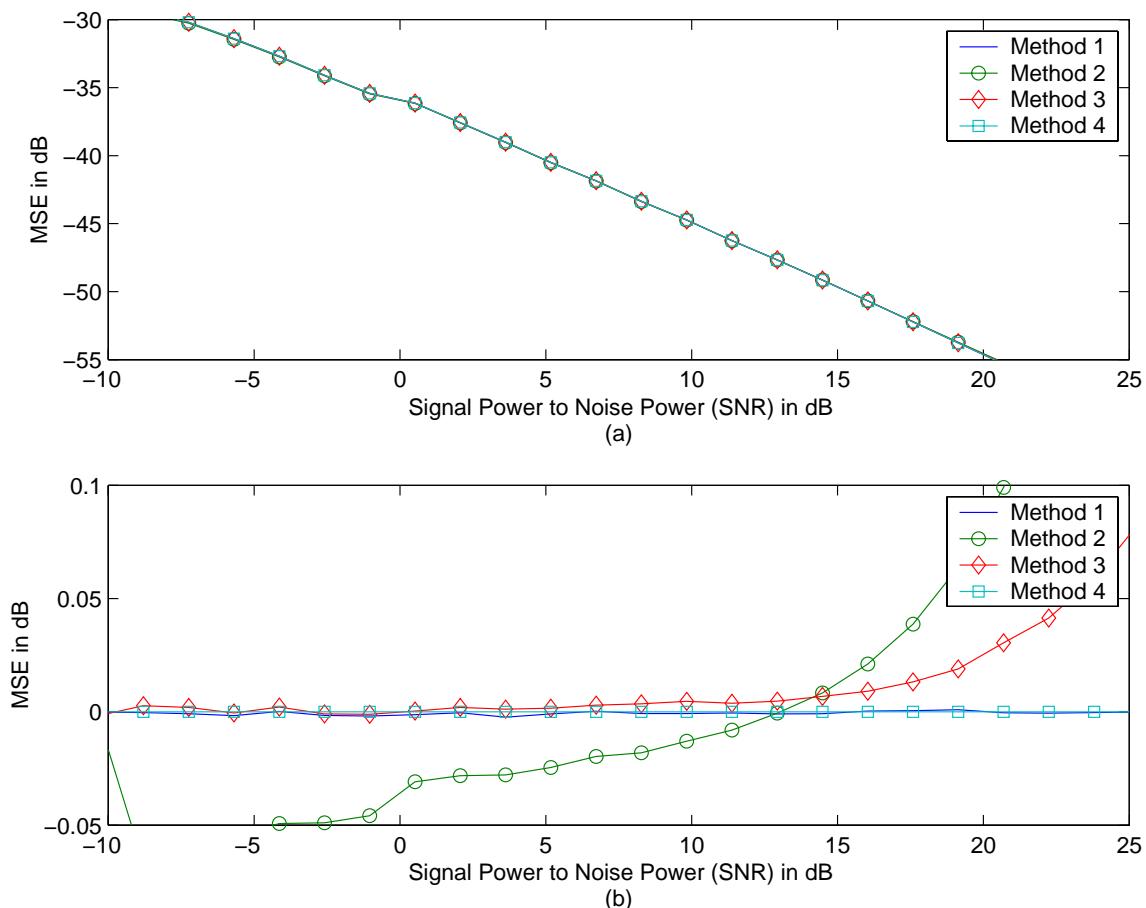


Figure 33. Results with Signal Extraction and without De-noising. (a) Waveform1 with Additive Noise Using Daubechie's Order 3 Wavelet, Level 3. (b) Normalized Results with No De-noising Using Daubechie's Order 3 Wavelet, Level 3.

b. Results with De-Noising

Figure 34 shows results with de-noising and signal extraction using Waveform 1 and “8694.cal”. It can be seen again that there is improvement in the performance for SNR less than approximately 15dB. Compared to the results in the previous section (see Figure 33), there was slight improvement to the performance of the system at higher SNR values when de-noising techniques were implemented as shown in Figure 34.

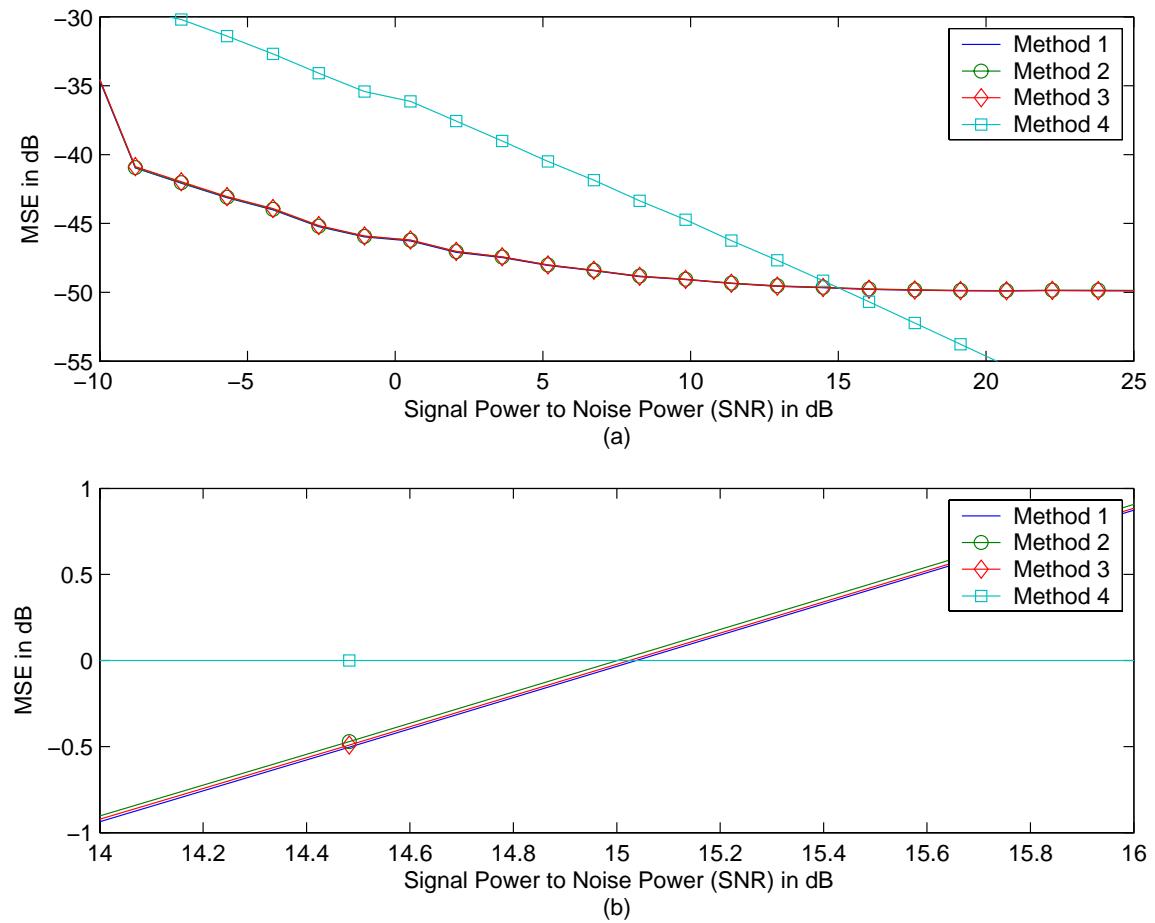


Figure 34. MSE as a function of SNR with Signal Extraction and De-noising: (a) Waveform1 with Additive Noise Using Daubechie's Order 3 Wavelet, Level 3. (b) Normalized values Using Daubechie's Order 3 Wavelet, Level 3.

A last comparison, in Figure 35, shows the time domain representations of the waveforms with a 15 dB SNR using extraction techniques. The desired signal has been extracted from the data containing only the portion of the data where the signal is present. The simulation parameters used to obtain the results in Figure 35 are same as those used to obtain the results in Figure 31 with the exception that signal extraction is applied here. As can be seen, the waveforms have been processed only over the extracted portions.

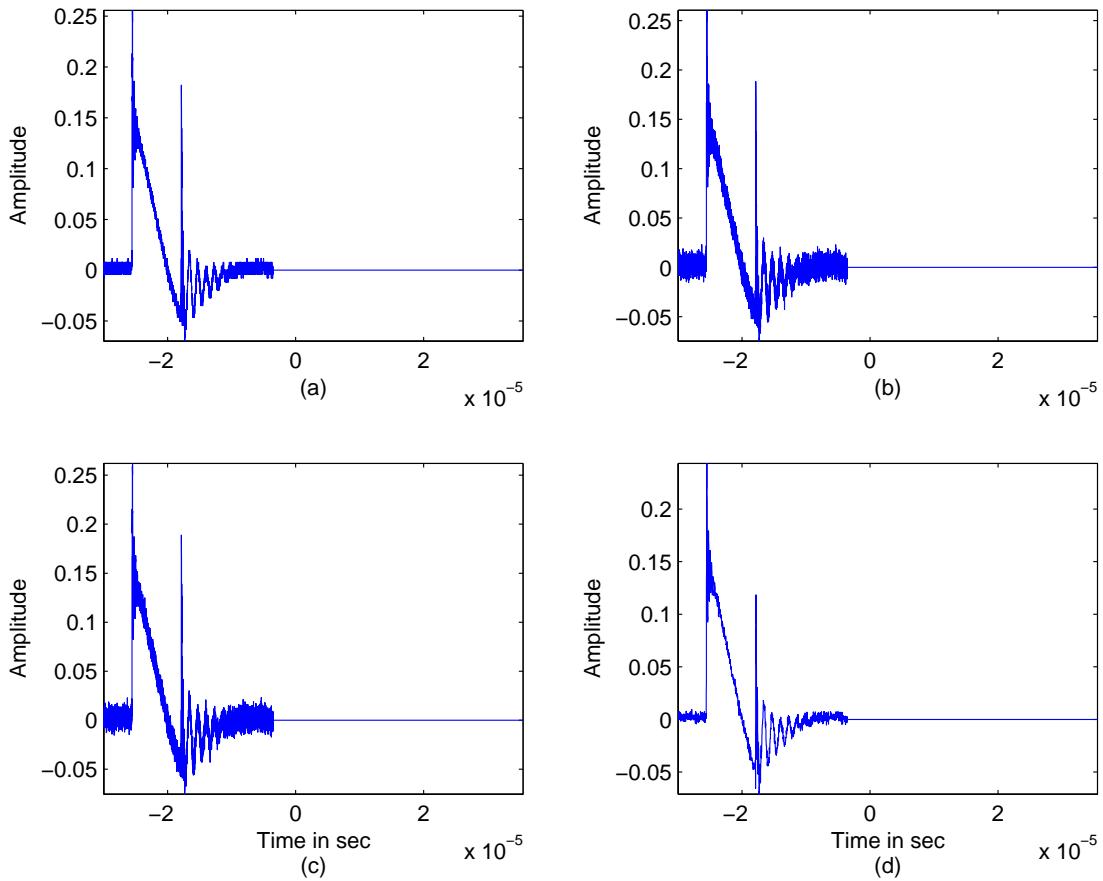


Figure 35. Waveform 1 with Signal Extraction: (a) Original Input Signal. (b) Signal with Noise (SNR of 15 dB). (c) Equalized Signal Using Method 2 without De-noising Using Daubechie's Order 3 Wavelet. (d) Equalization Using Method 2 with De-noising Using Daubechie's Order 3 Wavelet.

This chapter provided results and plots demonstrating the performance of the algorithms described in the previous chapters. The results compared a single waveform data set using different wavelet types. The performance of the four methods are compared as a function of the signal-to-noise ratio (-30 to 30 dB) using wavelet denoising techniques. The experiments compared the difference in performance with and without signal extraction. The conclusions of the work reported here and the results are discussed in Chapter VI.

VI. CONCLUSIONS

This thesis applied wavelet-based signal processing techniques to an existing practical problem of aircraft testing for vulnerability to EMP. It sought to investigate whether the performance of an equalizer could be improved using wavelet decomposition versus Fourier transform, whether sub-band de-noising, as well as preprocessing techniques for extracting the signal from arbitrary data would prove beneficial.

A. SIGNIFICANT RESULTS

First, we analyzed whether equalization using wavelet transforms could outperform equalization using Fourier transforms. The investigation included five different types of wavelets and three different levels of decomposition each. Results showed that Fourier transforms produced a closer approximation to the input signal after equalization. This can be attributed to the fact that the data collected from the channel was already in the frequency domain, and the scarcity of channel response data and channel dynamics precluded a dramatic increase in performance. Although sub-band level processing is expected to have better results, given the known channel information, Fourier transform processing was less computationally intensive, and provided a better approximation in low noise environments.

Second, we implemented a preprocessing algorithm to remove undesired portions of the signal from a given signal vector using time average energy calculations. Results showed performance improvement and a closer approximation of the desired signal using this technique. Additionally, from a test and evaluation standpoint in order to support acceptance and certification, it will keep the signal quality from being sensitive to operator intervention and error.

Lastly, we investigated the equalization, signal extraction, and de-noising for a given set of recorded signal measurements with the addition of additive noise. Results showed that using wavelets had better performance than processing in the frequency domain for signals with additive noise; however, the results of de-noising are preliminary and further in-depth investigation is warranted to draw definitive conclusions on the

performance improvement with de-noising. For each algorithm with de-noising, the wavelet based processing had better results for signal-to-noise ratios of less than 15 dB.

Results suggest that the current method employed by NAWCAD for channel equalization using Fourier transforms is optimal in a low noise (high SNR) environment based on the channel (equipment) response provided. Although potentially beneficial with other systems, wavelet processing did not provide significant improvement to the process at high SNRs. Additionally, it added considerable processing overhead for the equalization process. Nevertheless, significant improvement in performance of the proposed wavelet methods with de-noising is obtained at low SNRs, a desirable outcome especially when the aircraft testing is conducted under noisy conditions. Results also suggest that removing undesired portions of a signal from a given data set as part of preprocessing provided improved system performance. By automating the signal extraction process using the principle of time average energy, one can obtain consistency and repeatability of the results.

B. RECOMMENDATIONS FOR FURTHER RESEARCH

In this thesis, using basic wavelet de-noising techniques there was an improvement in signal extraction performance in high noise environments. However, the techniques used were basic and no in-depth study was conducted. A more detailed look into which thresholding methods would be optimum for these processes will be useful. More detailed investigation into which wavelet families work best for these classes of signal and channel structures could also be conducted to improve system performance.

The ability to exploit the multi-rate sampling techniques of the channel characteristics is another viable option. Because of the compression capabilities of wavelets, although not discussed, a multi-rate technique may be developed that could capture more information about channel using fewer points.

Finally, the use of fractional Fourier transforms, or other linear or non-linear transforms, may also offer an alternative method for improving the performance of this

system. Based on the uniqueness of the system and signal characteristics, the solution should not be limited to wavelet or Fourier transform processing to provide the most optimal results.

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APPENDIX

A. MAIN PROGRAMS

The following section provides the MATLAB code used for the results generated in Chapter V. It provides the code both for the Tables and plots. The succeeding section, Section B, provides the code for the subroutines called in the following program sets.

1. Program Producing Tables for Chapter V

```
%%%%%%%%%%%%%
%%%%% This MATLAB file is the main operating program for simulations of
%%%%% signal processing for this thesis.
%%%%% It is the program that implements the extraction technique on data
%%%%% and presents the data with and without de-noising techniques.
%%%%% The results of this program produce the Tables of Chapter V, and only
%%%%% results for the original signal with no added noise. This program
%%%%% primarily compares the different wavelet basis functions against
%%%%% one another as well as compares the effectiveness of different levels
%%%%% of decomposition
%%%%%
%%%%% Functions Called:
%%%%%     calprog2()
%%%%%     buildiir()
%%%%%     avepower()
%%%%%     filterNreconstruct()
%%%%%     polywavfil1()
%%%%%     wavedecom()
%%%%%     noisepolywavfil1()
%%%%%     noisewavedecom()
%%%%%
%%%%% Clear variables and close all figures
clear; close all;

%%%%% Define data1 as global
global data1

%%%%% Loads in data
```

```

loadprogdatahome;

% Find length of data signal to be used
n=length(data1(:,2));

% Finds the freq response of channel response data @ freq bins of input data
[caldata]=calprog2(y4,deltaf1,n);

% Finds IIR coefficients and IIR filter frequency response of order 40 from
% the channel response data
[g,biir,aiir]=buildiir(caldata(1:n/2+1,:),40,'n');

% Established full spectrum (both halves of butterfly)
g1=[g' fliplr(conj(g(2:length(g))-1))]'';

% Establishes noise contribution from the signal
sigpower=var(data1(:,2));

% Establish the noise power to be added to the signal
sigma=0;

% Creates Data-- The vector to be filtered
data=data1;

% Seeds the random generator to keep results consistent for comparison
randn('state',1);

% Adds noise to signal
data(:,2)=data1(:,2) + sigma*randn(length(data1(:,2)),1);

% Finds the extraction parameters using the function avepower
[dd,start,stop,data]=avepower(data);

% Extracts the signal from the data and fills in zeros to match the
% length of the original data
data1(:,2)=[data1(start:stop,2); zeros(n-(stop-start+1),1)];

% Plots input data in the Time and Frequency Domain
figure('name','Original Data- Time & Freq Domain');
subplot(2,1,1);plot(data1(:,1),data1(:,2),data1(:,1),data1(:,2));
subplot(2,1,2);plot(f1,abs(fft(data1(:,2))),f1,abs(fft(data1(:,2))));

% Creates signal x[n] that needs to be equalized
% Sends input through the channel
filterout=filter(biir,aiir,data(:,2));disp('end filter');

```

```

% Equalizes the signal x[n] through division of the channel frequency
% response. Method 4 (their method)
ffout=fft(filterout);
fyout=ffout./g1;

% yout is shat[n] for method 4
yout=real(ifft(fyout));

% Plot of x[n] in Time Domain
figure('name','Filtered Data using IIR Filter')
subplot(2,1,1);plot(filterout); axis tight

% Plot of x[n] in Frequency Domain
subplot(2,1,2)
plot((abs(ffout)));axis tight; title({'[Figure 1],[filtered output']}); 

% Plot of shat[n] in Frequency Domain
figure('name','Equalized Output using FFT')
plot(f1,abs(fyout),f1,abs(fdatal(:,2))); title({'[Figure 1],[equalized output usinfft']}); 

% Initializes parameters for wavelet basis functions and levels of decomposition
wavnamelist={'haar' 'db3' 'db15' 'coif1' 'dmey'};
lev=[3 4 5];

for i=1:5
    wavname=wavnamelist{i};
    disp(' ');
    disp(' ');
    disp(sprintf('%-10s%',wavname))
    disp(sprintf('%-5s %-15s %-15s %-15s %-15s %-15s %-15s %-15s', 'level','Method
1','Method 2','Method 3','Present Tech','Method 1 noise','Method 2 noise','Method 3
Noise')) 

for j=1:3
    level=lev(j);
    % Find Time Domain data for Approx's and Details for current
    % wavelet basis functions and number of levels
    [A,D]=wavedecom(wavname,level,filterout,'n');

    % Find Time Domain data for Approx's and Details for current
    % wavelet basis functions and number of levels using de-noising
    % techniques
    [nA,nD]=noisewavedecom(wavname,level,filterout,'n');

    % Equalize the data using Methods 1 and 2, for the with and
    % without de-noising methods.
    % NEWDATA is the equalized output using inverse IIR filter

```

```

% (method 1)
% FFTNEWDATA is equalized output using inverse channel
% frequency response (method 2)
[newdata,fftnewdata]=filterNreconstruct(g1,aiir,biir,A,D,wavname);
[noisenewdata,noisefftnewdata]=filterNreconstruct(g1,aiir,biir,nA,nD,wavname);

% Equalization of the data Using Method 3 for the with and
% without de-noising methods.
[polynewdata1]=polywavfil1(aiir,biir,wavname,level,filterout);
[noisepolynewdata1]=noisepolywavfil1(aiir,biir,wavname,level,filterout);

% Find the Mean Squared Error of Techniques 1-3 (with and without de-noising)
% in dB, using original noiseless data as comparison
s1=10*log10(mean((data1(:,2)-newdata').^2));
s2=10*log10(mean((data1(:,2)-fftnewdata).^2));
s3=10*log10(mean((data1(:,2)-polynewdata1').^2));
s4=10*log10(mean((data1(:,2)-yout).^2));
s5=10*log10(mean((data1(:,2)-noisenewdata').^2));
s6=10*log10(mean((data1(:,2)-noisefftnewdata).^2));
s7=10*log10(mean((data1(:,2)-noisepolynewdata1').^2));

disp(sprintf('%-5.0f %-15.8f %-15.8f %-15.8f %-15.8f %-15.8f %-15.8f\n',
',level,s1,s2,s3,s4,s5,s6,s7))
end
end

```

2. Program that Produces Figures for Chapter V

```

%%%%%%%%%%%%%%%
%%%%%
%
% This MATLAB file is the main operating program for simulations of
% signal processing for this thesis.
% It is the program that implements the extraction technique on data
% and presents the data with and without de-noising techniques.
% The results of this program produce the Figures of Chapter V, and only
% results for the original signal with added noise over the range of signal-
% to-noise ratio (SNR) from -15 to 30 dB. This program primarily compares the
% different methods against one another for a given wavelet basis function
% and fixed number of levels for wavelet decomposition
%
%
% Functions Called:

```



```

n=length(data1(:,2));
close all;

% Establishes noise contribution from the signal
sigpower=var(data1(:,2));
% Establish the noise power to be added to the signal
sigma=sqrt(sigpower/10^(.1*snr(v)));
disp(['The amount of noise is: ',num2str(sigma)]);
% Creates Data-- The vector to be filtered
data=data1;

% Seeds the random generator to keep results consistent for comparison
randn('state',1);
% Adds noise to signal
data(:,2)=data1(:,2) + sigma*randn(length(data1(:,2)),1);
% Finds the extraction parameters using the function avepower
[dd,start,stop,data]=avepower(data);
% Extracts the signal from the data and fills in zeros to match the
% length of the original data
data1(:,2)=[data1(start:stop,2); zeros(n-(stop-start+1),1)];

% Plots input data in the Time and Frequency Domain
figure('name','Original Data- Time & Freq Domain');
subplot(2,1,1);plot(data1(:,1),data1(:,2),data1(:,1),data(:,2))
subplot(2,1,2);plot(f1,abs(fft(data1(:,2))),f1,abs(fft(data(:,2))));

% Creates signal x[n] that needs to be equalized
% Sends input through the channel
filterout=filter(biir,aiir,data(:,2));

% Equalizes the signal x[n] through division of the channel frequency
% response. Method 4 (their method)
ffout=fft(filterout);
foutput=ffout./g1;

% yout is shat[n] for method 4
yout=real(ifft(foutput));

% Plot of x[n] in Time Domain
figure('name','Filtered Data using IIR Filter')
subplot(2,1,1);plot(filterout); axis tight

% Plot of x[n] in Frequency Domain
subplot(2,1,2)
plot((abs(ffout)));axis tight; title({'[Figure 1]', ['filtered output']});
```

```

% Plot of shat[n] in Frequency Domain
figure('name','Equalized Output using FFT')
plot(f1,abs(fyout),f1,abs(fdata1(:,2))); title({'['Figure 1'],['equalized output usinfft']});
```

% Initializes parameters for wavelet basis functions and levels of decomposition

```
wavnamelist={'haar' 'db3' 'db15' 'coif1' 'dmey'};
lev=[3 4 5];
```

% Initialize index to choose wavelet basis function

```
i=2;
wavname=wavnamelist{i};
disp(' ');disp(' ');
disp(sprintf('%-10s%',wavname))
disp(sprintf('%-5s %-15s %-15s %-15s %-15s %-15s %-15s %-15s', 'level', 'Method
1', 'Method 2', 'Method 3', 'Present Tech', 'Method 1 noise', 'Method 2 noise', 'Method 3
Noise'))
```

% Initialize index to choose number of levels of decomposition

```
j=2;
level=lev(j);
```

% Find Time Domain data for Approx's and Details for current

% wavelet basis functions and number of levels

```
[A,D]=wavedecom(wavname,level,filterout,'n');
```

% Find Time Domain data for Approx's and Details for current

% wavelet basis functions and number of levels using de-noising

% techniques

```
[nA,nD]=noisewavedecom(wavname,level,filterout,'n');
```

% Equalize the data using Methods 1 and 2, for the with and

% without de-noising methods.

% NEWDATA is the equalized output using inverse IIR filter

% (method 1)

% FFTNEWDATA is equalized output using inverse channel

% frequency response (method 2)

```
[newdata,fftnewdata]=filterNreconstruct(g1,aiir,biir,A,D,wavname);
[noisenewdata,noisefftnewdata]=filterNreconstruct(g1,aiir,biir,nA,nD,wavname);
```

% Equalization of the data Using Method 3 for the with and

% without de-noising methods.

```
[polynewdata1]=polywayfil1(aiir,biir,wavname,level,filterout);
[noisepolynewdata1]=noisepolywayfil1(aiir,biir,wavname,level,filterout);
```

% Find the Mean Squared Error of Techniques 1-3 (with and without de-noising)

% in dB, using original noiseless data as comparison

```
s1=10*log10(mean((data1(:,2)-newdata').^2));
```

```

s2=10*log10(mean((data1(:,2)-fftnewdata).^2));
s3=10*log10(mean((data1(:,2)-polynewdata1').^2));
s4=10*log10(mean((data1(:,2)-yout).^2));
s5=10*log10(mean((data1(:,2)-noisenewdata').^2));
s6=10*log10(mean((data1(:,2)-noisefftnewdata).^2));
s7=10*log10(mean((data1(:,2)-noisepolynewdata1').^2));

% Display results to the screen
disp(sprintf('%-5.0f%-15.8f%-15.8f%-15.8f%-15.8f%-15.8f%-15.8f
',level,s1,s2,s3,s4,s5,s6,s7))

% Save current iterations data to matrix
mmse(:,v)=[s1 s2 s3 s4 s5 s6 s7];

end

% Plot of Mean Squared Errors of Methods over SNR from -15 to 30 dB,
% Non-normalized and not using de-noising techniques
figure; subplot(2,1,1)
plot(snr,mmse(1,:),snr,mmse(2,:),'o-','snr,mmse(3,:),'d-','snr,mmse(4,:),'s-'); axis tight
legend('Method 1','Method 2','Method 3','Present Technique')
xlabel({'[Signal Power to Noise Power (SNR) in dB],[('a)]'})
ylabel('MSE in dB')

% Plot of Mean Squared Errors of Methods over SNR from -15 to 30 dB,
% Normalized and not using de-noising techniques
subplot(2,1,2)
plot(snr,mmse(1,:)-mmse(4,:),snr,mmse(2,:)-mmse(4,:),'o-','snr,mmse(3,:)-mmse(4,:),'d-
','snr,mmse(4,:)-mmse(4,:),'s-'); axis tight
legend('Method 1','Method 2','Method 3','Present Technique')
xlabel({'[Signal Power to Noise Power (SNR) in dB],[('b)]'})
ylabel('MSE in dB')

% Plot of Mean Squared Errors of Methods over SNR from -15 to 30 dB,
% Non-normalized and using de-noising techniques
figure; subplot(2,1,1)
plot(snr,mmse(5,:),snr,mmse(6,:),'o-','snr,mmse(7,:),'d-','snr,mmse(4,:),'s-'); axis tight
legend('Method 1','Method 2','Method 3','Present Technique')
xlabel({'[Signal Power to Noise Power (SNR) in dB],[('a)]'})
ylabel('MSE in dB')

% Plot of Mean Squared Errors of Methods over SNR from -15 to 30 dB,
% Normalized and using de-noising techniques
subplot(2,1,2)
plot(snr,mmse(5,:)-mmse(4,:),snr,mmse(6,:)-mmse(4,:),'o-','snr,mmse(7,:)-mmse(4,:),'d-
','snr,mmse(4,:)-mmse(4,:),'s-'); axis tight

```

```
legend('Method 1','Method 2','Method 3','Present Technique')
xlabel(['Signal Power to Noise Power (SNR) in dB'],[(b)])
ylabel('MSE in dB')
```

B. FUNCTIONS USED IN ABOVE MAIN PROGRAMS

1. AVEPOWER

```

energy(i)=sum(data(window:i,2).^2)/interval;
end

% Plot the Original data
figure
subplot(4,1,1)
plot(data(:,1),data(:,2)); axis tight
xlabel('(a)')
ylabel('Amplitude')

% Plot the Average energy calculation of the data
subplot(4,1,2)
plot(data(:,1),energy,'-'); axis tight
xlabel('(b)')
ylabel('Amplitude')

% Establish thresholds for the data, thresh1 represents the threshold at
% the beginning of the data, thresh2 represents the data at the end of
% the data string
thresh1=threshperf*1.5;
thresh2=threshperf*2;

% Find the Beginning of the signal; where the energy crosses the threshold
startIndex=interval;
count=1;
while (thresh1*energy(startIndex) > energy(count))&(count<n)
    count=count+1;
end

% Add portion of data to prevent unnecessary loss of the signal
startIndex=(count-round(count/5));

% Find the end the signal in the data; where the end of the signal crosses
% the threshold.
stopIndex=n;
count=n;
while (thresh2*energy(n) > energy(count))&(count>1)
    count=count-1;
end

% Add portion of data to prevent unnecessary loss of the signal
stopIndex=(count+round(interval/2));

% Check values to make sure they are valid
if stopIndex>=n, stopIndex=n; end
if startIndex<1, startIndex=1; end

```

```

if stopIndex<startIndex, stopIndex=n; startIndex=1; end

% Eliminate portions in the average time energy profile that do not contain the signal
energy=[energy(startIndex:stopIndex); zeros(n-(stopIndex-startIndex+1),1)];

% Eliminate portions of the data that does not contain portions of the signal
dataExtracted(:,2)=[data(startIndex:stopIndex,2); zeros(n-(stopIndex-startIndex+1),1)];

% Calculate the average noise energy of the data not considered the signal
dcdrift=mean([data(interval:startIndex,2) ;data(stopIndex:n,2)]);

% Plot the extracted time average energy of the signal
subplot(4,1,3)
plot(data(:,1),energy); axis tight
 xlabel('c')
 ylabel('Amplitude')

% Plot the extracted signal
subplot(4,1,4)
plot(data(:,1),dataExtracted(:,2)); axis tight
 xlabel(['Time in sec'],['(d)'])
 ylabel('Amplitude')

```

2. CALPROG2

```

function [calnew]=calprog2(cal,df,nx);
%%%%%%%%%%%%%
%%%%%%%%%%%%%
% CALPROG2
%
% This function takes given channel response,
% This code was modified from foldprob.m [ref]
%
% Inputs:
%       cal (data signal to be extracted)
%       df (delta frequency of data signal)
%       nx (number of data points in the data signal)
%
% Outputs:
%       calnew (Extracted signal from data)
%
% Function calls:
%       interpjk()
%       extend()
%       unwrap()
%
%%%%%%%%%%%%%
%%%%%%%%%%%%%

```

```

% INTERPJK Table look-up.
%   Y = interpjk(dataset1,dataset2) returns a dataset of linearly
%   interpolated rows, mapping dataset1 to the first column of
%   dataset2.
%
%   NOTE: dataset2's 1st column and dataset1 must be monotonically
%   increasing.
%%%%%%%%%%%%%
%%%%%%%%%%%%%
% EXTEND
%   dataset1=extend(dataset1,dataset2)
%
%   Use extend to extend the data to allow for interpolation of
%   datasets whose points do not match up.
%%%%%%%%%%%%%
%%%%%%%%%%%%%
% UNWRAP Unwrap phase angle.
%   UNWRAP(P) unwraps radian phases P by changing absolute
%   jumps greater than pi to their 2*pi complement. It unwraps
%   along the first non-singleton dimension of P. P can be a
%   scalar, vector, matrix, or N-D array.
%
%   UNWRAP(P,TOL) uses a jump tolerance of TOL rather
%   than the default TOL = pi.
%
%   UNWRAP(P,[],DIM) unwraps along dimension DIM using the
%   default tolerance. UNWRAP(P,TOL,DIM) uses a jump tolerance
%   of TOL.
%%%%%%%%%%%%%
%%%%%%%%%%%%%
% Find the number of data points in the channel frequency response
n=length(cal);

% Set up frequency bins for channel response interpolation
f=linspace(0,df*nx/2,nx/2+1);

% Interpolates data and sets data to the scale of the vector f
% Code taken from foldprob.n [ref]
newdata=interpjk(cal,f);
  cal_id=extend(cal,f);
  t=[cal_id(:,1),abs(cal_id(:,2)),angle(cal_id(:,2))];
  t(:,3)=unwrap(t(:,3));
  ct=interpjk(t,f);

```

```

clear t;
t=ct(:,2).* (cos(ct(:,3))+sin(ct(:,3))*i);

clear cal_id;

% saves modified interpolated data as newdata
newdata=t';

% Takes the one-sided spectrum and adds its complex conjugate other side
if length(newdata)/2 ~= round(length(newdata)/2)
    fulldata=[newdata fliplr(conj(newdata(2:length(newdata)-1)))];
else
    fulldata=[newdata fliplr(conj(newdata(2:length(newdata))))];
end

% Saves final channel response as calnew
calnew=[linspace(0,(length(fulldata)-1)*df,length(fulldata))'fulldata'];

% Plot of channel frequency response before and after interpolation
figure('name','Channel Freq Domain- Before/ After interpolation')
subplot(2,1,1)
plot(cal(:,1),abs(cal(:,2)),'.')
axis tight
subplot(2,1,2)
plot(f,abs(newdata),'.')
axis tight

% Plot of full spectrum of channel response and its time domain impulse
% response.
figure('name','Channel Freq and Time Domain')
subplot(2,1,1)
plot(calnew(:,1),abs(calnew(:,2)))
subplot(2,1,2)
ff=ifft(fulldata);
plot(abs(ff));
axis([0 4000 0 .0001])

```

3. WAVEDECOM


```

plot(Dc{level}); axis tight
title(['D',num2str(level), ' Coefficients'])

subplot(2,2,3)
plot(Dc{level-1}); axis tight
title(['D',num2str(level-1), ' Coefficients'])

subplot(2,2,4)
plot(Dc{level-2}); axis tight
title(['D',num2str(level-2), ' Coefficients'])

for i=1:level-3
    if mod(i-1,4)==0
        figure('name','Wavelet Coefficients')
    end
    subplot(2,2,mod(i-1,4)+1); plot(Dc{level-(i+2)}); axis tight
    title(['D',num2str(level-(i+2)), ' Coefficients'])
end

% Plot of Original Signal
figure('name','Original data')
plot(data); axis tight
end

% Initialize matrices
A=zeros(level,n);
D=zeros(level,n);

% For each level of decomposition save the selective reconstruction of the
% Approximation and Detail Time Domain signals
for i=1:level
    A(i,:)=wrcoef('a',C,L,wavlet,i)';
    D(i,:)=wrcoef('d',C,L,wavlet,i)';
end

% If requested, display, the following plots of Time domain sub-band
% signals for all Approximation and Detail levels of decomposition
if pr=='y'
    for i=1:level
        if mod(i-1,4)==0
            figure('name','Wavelet Time Domain Approximations and Details')
        end
        subplot(4,2,mod(2*(i-1),8)+1); plot(A(i,:)); axis tight
        title(['A',num2str(i), ' Reconstruction to Time Domain'])

        subplot(4,2,mod(2*(i-1),8)+2); plot(D(i,:)); axis tight
    end
end

```

```

    title(['D',num2str(i),' Reconstruction to Time Domain'])
    end
end

```

4. BUILDIIR

```

function [g,b,a]=buildiir(cal,order,pr)
%%%%% This function finds IIR filter coefficients for the best fit filter
%%%%% given frequency response using a mean squared error criterion.
%%%%% Yule-Walker function from MATLAB used to determine coefficients from
%%%%% Frequency Response.
%
% Inputs:
%     cal (FFT of channel response)
%     order (Order of desired IIR filter, zero implies MMSE best fit)
%     pr (string variable, 'y', prints all associated plots)
%
Outputs:
%     g (Frequency Response of IIR Filter)
%     a (IIR Filter denominator coefficients)
%     b (IIR Filter numerator coefficients)
%
%%%%% Find the length of channel frequency response vector
n=length(cal(:,1));
%
% Normalize the Channel Frequencies from 0 to 1
f=cal(:,1)/cal(n,1);
%
% Establish vector of Channel Magnitudes
m=abs(cal(:,2));
%
% Find the optimum filter if the input order is zero
if order==0
%
% Initialize the comparison of the error value
besterror=10^16;
%
% Find the best filter from order 2 to 80, with even order values.

```

```

for i=1:40
    % Find filter coefficients of order 2*i using yulewalk
    [b1,a1] = yulewalk(2*i,f,m);

    % Find frequency response of resultant IIR filter using freqz
    [h,w] = freqz(b1,a1,length(f));

    % Compare the difference of IIR filter and Channel response using
    % MSE comparison.
    error=mean((abs(h)-abs(m)).^2);

    % If the response was better than order 2*(i-1) then save the order
    % Also, verify that roots and poles of IIR filter are within unit
    % circle for stability.
    if (error < besterror)&((abs(roots(a1)))<1)&((abs(roots(b1)))<1)
        order=i*2;
        besterror=error;
    end
end
end

% Calculate Filter coefficients fro best order IIR Filter
[b1,a1] = yulewalk(order,f,m);

% Calculate Frequency Response for best filter
[h,w] = freqz(b1,a1,length(f));

% If requested, plot the following results
if pr == 'y'
    % Plot the Original channel response and the IIR filter frequency
    % response
    figure('name','IIR Freq Response')
    plot(f,abs(m),w/pi,abs(h),'--')
    legend('Original','yulewalk Designed')
    title({'[Figure 1---- IIR],[Comparison of Frequency Response Magnitudes']})

    % Plot the zeros and poles of IIR Filter
    figure('name','IIR Poles and Zeroes')
    zplane(b1,a1)
    title('Poles and Zeros of IIR Filter')
end

% Returned values
b=b1;
a=a1;
g=h;

```

5. FILTERN RECONSTR

```

% Decomposes Each sub-band signal into its respective coefficients for
% frequency response method (Method 2)
[fC,fL] = wavedec(fftfilA(level,:),level,wavlet);
fAtmp= appcoef(fC,fL,wavlet,level);
fCnew=fAtmp;

% Puts together coefficients of each sub-band to reconstruct signal
for i=1:level
    % Method 1 signal
    [C,L] = wavedec(fftfilD(level-i+1,:),level,wavlet);
    tmp= detcoef(C,L,wavlet,level);
    Cnew=[Cnew tmp{level-i+1}];

    % Method 2 signal
    [fC,fL] = wavedec(fftfilD(level-i+1,:),level,wavlet);
    ftmp= detcoef(fC,fL,wavlet,level);
    fCnew=[fCnew ftmp{level-i+1}];

end

% Reconstructs the complete signal from coefficients
newdata= waverec(Cnew,L,wavlet);
fftnewdata= waverec(fCnew,fL,wavlet)';

```

6. POLYWAVEFIL1

```

function [polynewdata]=polywavfil1(a,b,wavlet,level,filterout);
%%%%%%%%%%%%%
%%%%%%%%%%%%%
% POLYWAVFIL1
%
% This function performs Method 3 on the data for Equalization
%
% Inputs:
%     a (IIR Filter coefficients)
%     b (IIR Filter coefficients)
%     filterout (signal to be equalized)
%     level (number of levels of wavelet decomposition)
%     wavlet (wavelet basis function to be used)
%
% Outputs:
%     polynewdata (Equalized data using Method 3)
%
%%%%%%%%%%%%%
%%%%%%%%%%%%%

```

```

% Decomposes the data signal into coefficients
[pC,pL] = wavedec(filterout,level,wavlet);

% Initializes index and new coefficient vectors
index=1;
PolyC=pC; PolyL=pL;

% Filters the coefficients of the data signal by the inverse IIR filter
for i=1:level+1

tmpL=pL(i);
tmp=(pC(index:index+tmpL-1));

PolyC(index:index+tmpL-1)=filter(a,b,tmp);
index=index+tmpL;
end

% Reconstructs the resultant data using new filtered coefficients
polynewdata= waverec(PolyC,PolyL,wavlet)';

```

C. NOTES ON DE-NOISING AND EXTRACTION

1. De-Noising

```

%%%%%%%%%%%%%
%%%%%
% NOISEWAVEDECOM and NOISEPOLYWAVFIL1
%
% These two functions perform the same as wavedecom and polywavfil1,
% respectively with the exception of one line of code. In these
% functions, when the data is decomposed into wavelet coefficients,
% appropriate de-noising techniques are applied as well. All input
% and output parameters remain the same. The modifications of each of
% these functions are show below
%
%%%%%%%%%%%%%
%%%%%
% Lines of code in wavedecom
% Decompose the data using above described parameters
[C,L] = wavedec(data,level,wavlet);
% are replaced with the following lines of code:
% Decompose the data using above described parameters as well as

```

```

% de-noising techniques with parameters 'huersure' and 's'
[x,C,L]=wden(data,'heursure','s','one',level,wavlet);

%
% Lines of code in polywavfil1
% Decomposes the data signal into coefficients
[pC,pL] = wavedec(filterout,level,wavlet);
% are replaced with the following lines of code in noisepolywavfil1
% Decompose the data using above described parameters as well as
% de-noising techniques with parameters 'huersure' and 's'
[x,pC,pL]=wden(filterout,'heursure','s','one',level,wavlet);

```

2. Extraction

```

%%%%%%%%%%%%%
%%%%%%%%%%%%%
% Extraction
%
% The operation of extracting the signal from noise was accomplished
% through coding with the addition of the function avepower to the
% program code. If these corresponding lines of code were removed and
% replaced with alternate lines, the program would operate without the
% ability to extract the signal.
%
%%%%%%%%%%%%%
%%%%%%%%%%%%%
%
% Lines of code responsible for extraction of signal
% Finds the extraction parameters using the function avepower
[dd,start,stop,data]=avepower(data);
% Extracts the signal from the data and fills in zeros to match the
% length of the original data
data1(:,2)=[data1(start:stop,2); zeros(n-(stop-start+1),1)];

%
% Lines of code required in place of above code
data1=data;

```

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